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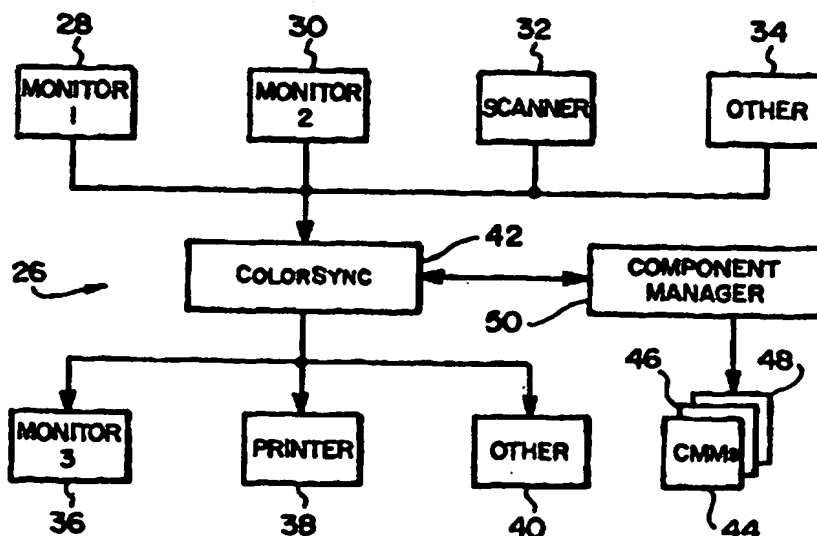
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(57) Abstract

Methods and systems for automatically generating printer profiles for color printers to evenly distribute translation errors between device color spaces are described. Since the color spaces of source devices, e.g., monitors and scanners, differ from that of destination devices, e.g., printers, translation is necessary. As some colors may be available to source devices which are not available to destination devices, this translation is inherently imperfect. Moreover, conventional techniques apply imperfect models of the color space which further skew the results. According to the novel methods and systems described herein, a nonlinear regression technique is applied in an automated system which reduces perceptual changes.

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## METHOD AND SYSTEM FOR AUTOMATICALLY GENERATING PRINTER PROFILES

### BACKGROUND

5 The present invention relates in general to computer systems, and in particular to peripheral devices used in computer systems. Still more particularly, the present invention relates to a method and system for automatically generating printer profiles.

10 As computing moves into the multimedia era, the days in which color was an unneeded luxury and monochrome monitors were commonplace, have given way to color image processing being an integral feature used by most applications. As the commercial demand for color grew, so too did the complexity of the hardware and software which was designed in response to that demand. Many color image processing techniques have been developed to deal with the issues raised by the integration of color into the computing  
15 paradigm.

Color matching is one such technique, which is used when transferring color images and documents between color devices, such as monitors, scanners and printers. Color matching is a useful interface technique because color devices have different color capabilities, describe color in different terms and  
20 operate in different color spaces. For example, a color display monitor in a computer system may create and describe colors in terms of red, green and blue ("rgb") values, and is then said to work in the RGB color space. The rgb values for this display monitor are device dependent, meaning the rgb values are particular for that monitor or brand of monitor. Because the rgb values are  
25 device dependent, colors displayed on different monitors will probably not be visually identical even for the same rgb values.

Most printers create and describe colors in device dependent terms differing from monitors. Printers use, for example, cyan, magenta, yellow and black ("cmk") values to describe colors, and are said to work in the CMYK  
30 color space. Again, because the cmk values are device dependent, colors

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printed on any given printer will probably not match colors printed on a different printer for the same cmyk values.

Further complicating color matching between color devices is that different color devices have different color capabilities. Every color device, such as a scanner, printer, or monitor, has a range of colors that it can produce. This range of producible colors is known as a gamut. To exemplify the difficulties caused by differing device gamuts, consider monitors and printers. Those skilled in the art will recognize that color display monitors can produce and display hundreds to thousands of colors. Color printers, however, typically have a smaller number of printable colors. Consequently, in most situations the gamut for a color display monitor exceeds the gamut for a color printer. As a result some colors displayed on display monitors cannot be produced by color printers.

Color matching models translate colors between devices while trying to maintain the perceived color appearance. For example, suppose that a user creates an image on a monitor. If she or he prints this image without any color matching, the color appearance of the printed image will differ significantly from that of the original. Using a color matching model, this change can be reduced to a perceptually acceptable level.

In addition to color matching models, device profiles are needed for each color device to be matched. These profiles describe the basic color characteristics of the device and include a minimum required set of parameters for the color matching model to use. By searching through the source and destination device profiles, a color matching model can translate the source colors into a device independent color space and from this space into the destination device color space. Therefore, every color device is provided with a device profile with the required information for at least a default color matching model, if a custom-designed color matching model is not being used. In order to provide the best results, this profile information needs to be optimized for the particular color matching model in use.

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It has been found, however, that certain color matching models, such as the default color matching model shipped provided color devices produced by Apple Computer, Incorporated, located in Cupertino, California, are not well suited to converting from one color space to another, particularly with respect to printers, due to certain assumptions about the linearity of color transformations which are implicit in these models. These assumptions, and an exemplary default color matching model, are described in more detail in the detailed description that follows.

Printer profiles have been conventionally created by the manual measurement of printed test patches to produce colorant values and tone reproduction tables. Due to the weakness of some conventional models, these printer profiles have been manually adjusted to obtain the proper colorant matrices so that the color conversions are perceptually acceptable. Since this manual adjustment is very time consuming (on the order of a week to set up a profile for a printer) and costly, it would be desirable to overcome the problems caused by these default models. Although one solution would be to create a better color matching model, many color devices have already been produced which operate using models which are integral with color conversion systems that would be commercially inviable to replace.

## SUMMARY

According to the present invention, methods and systems are provided which automate profile generation and optimize colorant matrices. By creating, printing and measuring a digital target of, for example, less than 200 sample colors, the linearization look-up table (LUT) can easily be obtained from grey scale values in this target. Furthermore, the sample colors provide a database of colors in each hexant which can be used in a multiple nonlinear regression to find the optimal colorant values for the profile matrices. Since CIEXYZ space is perceptually nonlinear, simple least squares regression is inadequate and a new loss function based on the CIELAB  $\Delta E^*$  color difference equation is

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used. In this way any resulting errors are evenly distributed within each hexant on a perceptual basis.

An ideal regression would involve solving for all six colorant values at once, where each colorant value has three values representing their CIEXYZ measurements. Unfortunately, this would create  $3^{(6 \times 3)}$  or 387,420,489 degrees of freedom which is a computationally unreasonable solution given the iterative nature of regression analysis. Instead, a progressive solution is provided according to exemplary embodiments of the present invention where one hexant, with its two colorant values, is regressed and then an adjacent hexant is regressed, with one colorant value already solved, until the last colorant value is left with two hexants of data used for its solution.

### **BRIEF DESCRIPTION OF THE DRAWINGS**

The present invention, as well as exemplary embodiments thereof, and further objects and advantages thereof, will best be understood by reference to the following detailed description of an illustrative embodiment when read in conjunction with the accompanying drawings, wherein:

Figure 1 illustrates a computer system which may be used to implement the method and system for automatically generating printer profiles according to the present invention;

Figures 2a and 2b are pictorial representations of a device gamut;

Figure 3 is a block diagram illustrating the architecture of a color processing system according to the present invention;

Figure 4 illustrates pictorially the transformations of color from a source device to a destination device by the Apple™ Color Matching Method;

Figure 5(a) is a flow chart depicting the method of converting colors from CIEXYZ independent color space to a color space of a destination printer device according to the Apple™ Color Matching Method;

Figure 5(b) is an illustration of exemplary maximum, middle, and minimum cmy values for each hex;



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Figure 6 is a high level flowchart illustrating the method for automatically generating printer profiles according to the present invention;

Figure 7 is a flowchart depicting the process of block 66 in Figure 6;

Figure 8 is a flowchart illustrating the process of block 70 in Figure 6;

5 Figure 9 is a flowchart depicting the process of block 72 in Figure 6;

Figure 10 is a flowchart illustrating the process of block 114 in

Figure 9;

Figure 11 is a flowchart depicting the process of block 74 in Figure 6;

Figure 12 is a flowchart illustrating the process of block 76 in Figure 6;

10 Figure 13 is a flowchart illustrating the process of block 164 in

Figure 12;

Figure 14 is a flowchart depicting the process of block 174 in Figure 13;

Figures 15(a)-(f) depict the regression process for each hexant of the device color space according to the present invention;

15 and

Figure 16 is a flowchart illustrating the process of block 78 in Figure 6.

### **DETAILED DESCRIPTION**

With reference now to the figures and in particular with reference to Figure 1, a computer system is illustrated which may be used to implement the method and system for automatically generating printer profiles according to the present invention. Computer system 10 includes a computer 12, keyboard 14, a color display monitor 16 having a display screen 18, a cursor control device 20, shown here as a mouse, and a printer 22. Computer system 10 may be implemented using any suitable computer, such as a Macintosh Quadra™ computer, a product of Apple Computer, Incorporated, located in Cupertino, California. Printer 22 can be any color printer, such as a Color Stylewriter Pro™ printer also a product of Apple Computer, Incorporated.

Figure 2a is a pictorial representation of a device gamut. For illustrative purposes, the gamut is shown in the shape of a hexcone. In this exemplary embodiment device, gamut 24 has six chromatic colorants, points P<sub>1</sub>

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through  $P_6$ . These points correspond to the colorants red, magenta, blue, cyan, green and yellow, respectively. Achromatic colorants, white and black, are located at the upper and lower ends of the gamut. These eight points,  $P_1$  through  $P_6$ , white and black, define the volume of device gamut 24, and the  
5 volume represents the gamut of colors that can be produced or displayed on the device associated with gamut 24.

Those skilled in the art will recognize that the number of chromatic colorants in a device gamut may be a number other than six. For example, a device gamut may have only three chromatic colorants, such as red, green, and  
10 blue for a display monitor. Figure 2b depicts device gamut 24 from the perspective of looking at the hexcone from above, at the white point, or below at the black point. This perspective will be used again when describing Figures 15(a)-15(f) to illustrate an exemplary method of automatically generating printer  
15 profiles according to the preferred embodiment.

Referring to Figure 3, a block diagram illustrates the architecture of a color processing system according to an exemplary embodiment of the present invention. Color processing system 26 may include a plurality of source  
20 devices such as first display monitor 28, second display monitor 30, scanner 32, and other device 34. Other device 34 may be other real or virtual devices, such as a camera or color composition routines. Each of these source devices is a color device that can be added to or removed from color processing system 26. Source devices 28, 30, 32, 34 are examples of color devices that can provide color information to or receive color information from within color  
25 processing system 26 and those skilled in the art will appreciate that any other such color devices can also be interfaced with color system 26.

Color processing system 26 may also include a plurality of destination devices such as third display monitor 36, color printer 38, and other device 40. Other device 40 may be other real or virtual devices, such as a plotter or color  
30 composition routines. Like source devices 28, 30, 32, 34, each of the destination devices is a color device that can be added to or removed from color processing system 26. Destination devices 36, 38, 40 are examples of

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color devices that can provide color information to or receive color information from within color processing system 26.

Block 42 represents a color utility which can include a set of routines and data structures that enable color processing system 26 to match colors and communicate color information between the various source and destination devices. An example of such a utility is the Apple™ ColorSync™ Utility. Color information is transmitted between devices via a color profile. A color profile is a data structure that describes the basic color characteristics of the device. Color information described in a color profile includes data relating to the device's color space, gamut, tonal reproduction curves, and the preferred color matching method model (CMM).

A CMM is a component of color processing system 26. Color processing system 26 may have one or more CMMs. Blocks 44, 46, 48 represent three different CMMs within color processing system 26. One of the CMMs in color processing system 26 is typically a default, for example the Apple™ Color Matching Method included with ColorSync™. A CMM is where the conversion, or color matching, between differing color gamuts occurs. As described earlier, color matching matches the colors of the source color profile to the colors of the destination color profile.

To perform color matching in color processing system 26, the utility 42 calls one or more CMMs 44, 46, 48 via component manager 50. The color matching process will be described by way of example. Suppose that a color image displayed on first display monitor 28 is to be printed on printer 38, and the user wants color matching to occur. The application or device driver (not shown) for first display monitor 28 calls the utility 42 and transmits the color profile of first display monitor 28. The color profile for first display monitor 28 is the source color profile. The utility 42 also obtains the color profile from the device driver (not shown) for printer 38. The color profile for printer 38 is the destination color profile.

The utility 42 then transfers the color profiles for first display monitor 28 and printer 38 to component manager 50. Component manager 50

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examines the source and destination color profiles and calls one or more CMMs to perform color matching. How many CMMs are called depends upon whether or not the source color profile and the destination color profile can use the same CMM for color matching. Once color matching is completed, the color image is printed on printer 38.

Figure 4 illustrates pictorially the transformations of color from a source device to a destination device by the default CMM, for example, the Apple™ Color Matching Method. Display monitor 52 or scanner 54 are possible source color devices, and printer 56 and display monitor 58 are possible destination color devices. As discussed with reference to Figure 1, color devices have different color capabilities, describe color in different terms and operate in different color spaces. Color data from the source color devices 52, 54 and the destination color devices 56, 58 are described in device dependent terms. Consequently, this default CMM first converts the color data from the device dependent color space within source color devices 52, 54 to a device independent color space 60. The color data is then converted from the independent color space 60 to the device dependent destination color space within destination color devices 56, 58.

Independent color space 60 is shown in Figure 4 as CIE XYZ space. The XYZ space allows colors to be expressed as a mixture of three tristimulus values, X, Y, and Z. The term tristimulus comes from the notion that the way color is perceived results from the retina of the eye responding to three types of stimuli; one that affects the cones, one that affects the rods, and one that affects both. In 1931 the Commission Internationale de L'Eclairage (CIE) surveyed color data that was available and developed a space for color. The CIE set up a hypothetical set of primaries, XYZ, that correspond to the way the retina behaves. Because these primaries are based on perceived color, they map all visible light into a positive mixture of X, Y and Z.

Generally, the mixtures of X, Y, and Z used to describe a color are expressed as percentages ranging from 0% to 100%. The permissible range of

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values for X, Y and Z can be 0 (0%) to 65,535 (100%) which values are termed chromaticity coordinates.

Referring to Figure 5(a), a flow chart depicts the method of converting colors from CIEXYZ independent color space to a color space of a destination printer device according to the default Apple™ Color Matching Method. The CIE XYZ colors are first converted into a KPS (black, primary and secondary) representation using a 3 x 3 matrix optimized to the appropriate hexant. An exemplary technique for accomplishing this conversion is disclosed in U.S. Patent Application Serial No. 07/854,309 to Robin Myers et al, filed on March 19, 1992 and entitled "Color Matching Apparatus and Method", which disclosure is incorporated here by reference. The hexant description and selection process will be described later. The KPS representations are converted into linear (with respect to CIE XYZ) cyan, magenta and yellow (CMY) representations using the following addition formulae:

max = K  
mid = max - P  
min = mid - S, where max, mid and min values for each hex  
comprises the specific cyan, magenta and yellow values as illustrated in Figure 5(b).

The linear CMY representations are then converted into a device cmy representation using one dimensional lookup tables. The device cmy is linear with respect to the actual printer device space colorants. Finally, the cmy representation can be converted into rgb (red, green and blue) representations if the printer operates in the RGB color space, e.g., a QuickDraw printer. If the printer is a source device, this process is simply inverted with inverted lookup tables and matrices.

Figure 6 is a high level flowchart depicting an exemplary method for automatically generating printer profiles according to the present invention. Prior to the first step, a test target is created. This test target can be created using any one of a number of off-the-shelf graphic packages which can provide the target both in a printed form and as a stored test target file, for example, a

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text file. The test target contains, for example, a gray scale and at least three color samples within or on the borders of each subdivision of the device color space. For example, if the device color space is defined by the colors black, white, red, magenta, blue, cyan, green and yellow, then each hexant of the device color space should have at least three samples in the target.

The number and types of samples printed on the test target have a direct impact on the profile results since the derived colorant values minimize the perceptible color error between the measured and predicted sample colors in each hex. By increasing the number of different color samples in each hex, a better average can be attained. Additionally, one can weight certain colors such as flesh tones, blue sky and green grass simply by including more samples of these colors in the target.

At block 62, the first step in this exemplary method is to print the target file using the target printer. Since the paper stock and other user controllable printer settings can affect the output, care needs to be used to setup the printer in a desired manner for the profile being produced. All color matching functions should be turned off when printing the target in order to assure accurate modeling of the raw printer response.

The second step is to measure the color spectra of the printed target as noted in block 64. While many possible measurement setups are feasible, one exemplary technique is described in the ANSI/CGATS.5-1993 "Graphic technology - Spectral measurement and colorimetric computation for graphic arts images" standard which is incorporated here by reference. This data is then stored in a test target color spectral data file.

The third step 66 is to setup the target parameters. This step is further broken down into its component tasks in Figure 7. Therein, the total number of samples 80, the location of the eight pure colorant values 82 (white, black, cyan, magenta, yellow, red, green and blue), the number and location of the gray scale samples 84, and the number and location of any samples to be ignored in the analysis 85 are established. By allowing some samples to be ignored at block 86, a single test target can be created and used for multiple

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profiles such as profiles optimized for photographic images versus business graphics images. Step four, at block 68, is to read the device test target file in order to maintain a list of device color space values for each test target sample.

5 The fifth step 70 generates relative XYZ tristimulus values from the test target color spectra data file. This step is illustrated in more detail by the flow chart of Figure 8. First the appropriate standard observer/illuminant weights are computed or retrieved at block 88 for the wavelengths of interest. These weights can be found, for example, in the aforementioned ANSI CGATS.5-1993 document at pages 6 and 7. The interested reader is also referred to the  
10 publication entitled CIE publication 15.2-1986 entitled "Colorimetry" (hereinafter referred to simply as "CIE 15.2") which disclosure is also incorporated here by reference.

Next, the test target color spectral data file created at step 64 is opened for reading at block 90. The exemplary implementation described below is  
15 based on data files generated from an internal measurement utility, i.e., a colorimeter or spectral photometer built into the printer, however, those skilled in the art will appreciate that many other setups could be used as long as the basic process of creating relative XYZ tristimulus values is maintained. In fact, relative XYZ tristimulus values could be manually measured using a  
20 colorimeter or spectral photometer and input into this method if desired.

For the current implementation a line of text is read from the target color spectral data file at block 92 and processed until the end of file is reached as indicated by decision block 94. Each line of text is parsed into individual tokens of text and each token is converted into a floating point number at  
25 step 96. These numbers are the actual reflection factors for the wavelength bands of the spectra for the current sample being processing (which corresponds to the current line of text being retrieved). At block 98, absolute X tristimulus values are obtained by using equation (1) below and plugging in the retrieved reflection factors and observer/illuminant weights. The summation ranges  
30 through the available wavelength bands, for example, 400 nm - 700 nm.

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$$X = \sum_{i=1}^n \text{reflectionfactor}_i \cdot \text{obsill\_weight\_}X_i \quad \dots (1)$$

The absolute Y and Z tristimulus values are computed similarly. Next a normalization factor is computed at step 100 by dividing 100 by the absolute Y tristimulus value of the white colorant sample. In this way, the background white of the paper stock is placed at a set value rather than being dependent upon the intensity of the light used in measurement. Finally, each absolute

5 tristimulus value is converted into a relative tristimulus value by multiplication with the normalization factor at block 102.

The sixth step illustrated as block 72 in Figure 6 builds the Cyan, Magenta, Yellow and Black (CMYK) tone response curves and the initial

10 colorant values for the profile. The tone response curves define overall contrast and grey scale balance for the model, while the colorant values define the hue and chromaticness of the colors. This step is shown in more detail by the flow chart of Figure 9. The gray scale samples along with the white and black colorant samples are copied into a gray scale array to simplify computations at

15 104. This array is normalized to a range from 0.0 to 1.0 as indicated by block 106 to simplify the computations.

If any of the values are out of this range (block 108) then an error is logged and the program exits at 110. This error indicates that gray samples are either lighter than the white sample or darker than the black sample, both

20 representing problems. Next a raw gray scale is generated at block 112 by, for example, the following pseudo-code.

```
kpts=number of gray scale values+1(for white)+1(for black);
for(i=0; < kpts;i++)
  rawtrc[i]=65535.0*(1.0-gsval[kpts-1-i]);
```

25 This raw gray scale converts CMYK values that are linear with respect to XYZ values into nonlinear device cmyk values. This would be adequate if the raw device gray scale appeared perceptually linear in lightness, i.e., if the right



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contrast was achieved. In practice, however, most devices do not achieve proper contrast without further gray scale adjustment.

Thus, a lightness gray scale is generated at block 114 which represents a gray scale that should appear linear in lightness. This step is illustrated in more detail in the flow chart of Figure 10.

First, an initial lightness gray scale is generated at 115 by using the measured gray scale from the test target (gray in) plotted against  $L^*$  out,  $L^*$  out being calculated from the Y tristimulus values in a conventional manner (see CIE 15.2, section 4.2.2). Next, a CIELAB  $L^*$  Aim scale ( $L^*$  denoting the lightness parameter of a measured sample) is generated at block 122 which allows one to provide more or less contrast to the lightness gray scale, i.e., to provide more or less shadow detail. According to one exemplary embodiment, this scale can simply be defined as a line where  $L^*_{in} = L^*_{out}$ .

The initial lightness gray scale generated at block 114 is then inverted using interpolation to flip the input and output, and a correction array is created by interpolating the gray scale array and the CIELAB  $L^*$  Aim scale at blocks 124 and 126, respectively. Next, at block 128, an inverted corrected gray scale is generated by interpolating the correction array created in the previous step and the inverted gray scale from step 124. Reversing the function at step 130, i.e., by setting the maximum  $L^*_{in}$  value equal to the minimum  $L^*_{in}$  value, etc., adjusts for the opposite ways in which  $L^*$  and the printed test target quantize the scale from no colorant to black. This function is then inverted at step 132 to provide a corrected lightness gray scale.

An acceptable tone reproduction curve is generated by adding weighted versions of the raw gray scale from step 112 and the gray scale output from step 132 of Figure 10 to ensure that neutral samples have not drifted too far away from true neutral during the previous processing. For example, a simple weighting could be the average of these curves. These weights are calculated at block 116 to ensure that when cyan, magenta and yellow linear values are requested in equal portions, the nonlinear cyan, magenta and yellow values are perceptually neutral. Cyan, magenta and yellow weights are computed to

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generate cyan, magenta and yellow tone reproduction curves by weighting the tone reproduction curve at block 118. If this tone reproduction characteristic already occurs within the raw printer, the black tone reproduction curve can simply be copied into the cyan, magenta and yellow tone reproduction curves.

5 Finally, at block 120, the initial colorant values are set equal to the pure colorant sample values as a starting point for any changes to be implemented by this process.

The seventh step in Figure 6 is identified by reference numeral 74 and creates an array of data for valid samples containing the box number, white, primary and secondary component values and the relative XYZ tristimulus values. This step is illustrated in more detail in Figure 11. First the hexant cycling sequence is set at block 134. Since regression techniques push errors into subsequent computations, the first hex which is processed will provide the most perceptually accurate colors in subsequent printed images and the last hex

10 processed will provide the least perceptually accurate colors. Accordingly, the hex cycling sequence should be selected so that the best colorant values are generated for colors of interest for anticipated applications. For example, one may wish to provide the best perceptual accuracy to flesh tones, followed by green grass, followed by blue sky, in which case the hex cycling order would

15 be 1, 2, 3.. with reference to Figure 5(b).

Next, at block 136, the cmyk values are expanded to 256 entries so that subsequent processing can be performed by table look-up rather than by interpolation. The device red, green and blue values are converted to device cyan, magenta and yellow values by subtracting them from the maximum device value (for 8 bit devices this is 255) at 138.

20

Subsequently, these nonlinear cmy values are converted into linear CMY values at step 140 by looking-up those values in tables created by the tone reproduction curves generated in step 72. Then the appropriate hexant is identified for the color sample being operated on (or other subdivision of the gamut if more or fewer than six colorants are recognized) at step 142. If the

30 color sample lies on the border of two hexants, then data is included in both.

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The white, primary and secondary (WPS) values are computed at 144 using the following code:

```
5      white=(65535-max)/65535.0;  
      primary=(max-mid)/65535.0;  
      secondary=(mid-min)/65535.0;
```

Where max, mid and min correspond to the maximum, middle and minimum values of the linear CMY values.

10 The hexant to which the color sample belongs is also based on the max and minimum values. For example, if the Cyan of the sample is at the maximum value and the Yellow is at the minimum value, the sample would belong to the Cyan/Blue hex. If a sample is on the border between two hexes, it is duplicated and identical WPSXYZ values are created for each hex. If a sample is neutral, i.e., if  $C=M=Y$ , then it is duplicated and inserted into all six hexes.

15 Before actually inserting the hWPSXYZ values into the array created at block 146, they are checked against the list of ignored samples and inserted only if they do not match any samples in this list at step 148. Alternately, one could check for ignored samples before reading the device target file at step 68 to avoid processing samples which will later be ignored. In any event, after the  
20 hWPSXYZ array is created it is sorted by hex values at step 150. Finally, the beginning index number and number of samples for each hex are computed from this sorted array to set up blocks of samples for subsequent processing.

25 The eighth step 76 in Figure 6 is the multiple nonlinear regression used to compute the optimal colorant values for the profile. This step is illustrated in more detail in Figure 12.

30 First, a starting hex is equated with a current hex variable at 154. The first hex is selected as described above. As each hex is looped through, the appropriate colorant values for that hex are computed. The regression parameters are initialized at block 158. Then the average delta  $E^*$  values in the current hex for each of the possible color changes is computed at block 164. This step is illustrated in more detail in Figure 13.

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First, the colorant change value is initialized at 170. This change value will ultimately equal the amount of change desired in the initial colorant values to optimize the profile based on the differences between the printed samples and the device target file. The colorant values corresponding to this change value  
5 are computed at block 172 by adding the current colorant value and the change value. Then the average delta  $E^*$  for each sample within the current hex for the colorant values determined at step 172 is computed and saved at 174. This process is expanded upon in Figure 14.

Therein, the first step is to initialize the current sample variable to be  
10 the first sample in the current hex stored in the hWPSXYZ array and a running sum variable to be zero as shown in block 176. Estimated XYZ values are computed from the WPS values stored in the array and the current colorant values using matrix multiplication at step 178. Next the reference XYZ values, taken from the hWPSXYZ array, and the estimated XYZ values are both  
15 converted into CIELAB values at block 180. This conversion can be performed, for example, as described in CIE 15.2, section 4.2.2.

At block 182, delta  $E^*$  is determined using the estimated and reference CIELAB values from step 180. This value is added to the running sum variable at 184, if this was not the last sample in the current hex (block 186),  
20 the sample number is incremented at 187 and the above process repeated until no more samples remain. Then the average delta  $E^*$  value is computed using by dividing the running sum by the number of samples in the hex at 188. The equation used for computing the delta  $E^*$  value and converting from XYZ values into CIELAB values are based on CIE 15.2 which is an ISO standard  
25 and which disclosure has already been incorporated by reference. By calculating the loss function in the CIELAB color space, which is linear with respect to lightness, the perceptual errors which are measured by that loss function are diffused throughout the hexes in a perceptually even manner.

Looking back to Figure 13, the average delta  $E^*$  value is saved and the  
30 colorant change value is incremented at block 190. If no other possible

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colorant change values exist at 192, then the flow returns to the calling routine, i.e., Figure 12, at block 194.

Turning back now to Figure 12, step 164 is followed by a computation of the minimum average delta  $E^*$  value and corresponding colorant values at 166. to spread out any errors so as to reduce the perceptibility of those errors. At 160, if the values determined in blocks 164 and 166 are within the regression limits, then the results are satisfactory and the process moves on to the next hex at block 162. The regression limits can be established based on the amount of time available for the calculations and the desired distribution of errors. If this is the next to last hex, then the colorants have all been optimized and this subroutine ends by returning to the main routine, i.e., Figure 6, at block 168. Otherwise, another iteration is performed on the next hex's data.

The process described above with respect to Figures 12-14 is diagrammatically illustrated in Figures 15(a)-15(f). In Figure 15(a), the initial state is shown wherein all of the possible colorant values, six in this example, have not yet been optimized as illustrated by an unfilled circle. Then, in Figure 15(b), data from the first hex is used to compute both colorant values associated therewith. An adjacent hex, hex 2 in this example, is next used to compute the one unknown colorant related thereto as seen in Figure 15(c) and so on until the next to last hex is reached at Figure 15(e). Here, data from both the next to last hex (hex 5 in this example) and the last hex (hex 6 in this example) is used to compute the single remaining unknown colorant.

In step 78 of Figure 6 a profile is written into memory, for example on the hard drive of computer 12 in Figure 1 at a location which is readily accessible to various applications. This step is further described in the flow chart of Figure 16. First, a profile structure template with default values is created at 196 and the computed tone reproduction curve values and the optimized colorant values are inserted therein at blocks 198 and 200 respectively. This structure is then saved in an appropriate file format, e.g., the ColorSync profile disk file format.

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Finally, all subsequent printing by the color device having the newly saved profile is performed using the information stored in that profile to obtain optimized color matching as shown in step 79 of Figure 6. According to the present invention, errors which are caused by the necessarily imperfect translation of one color space into another are distributed in an evenly perceptual manner, making them less noticeable to the observer. Moreover, since many of these steps are automated in that they can be performed by a computer system, the time for creating such profiles is dramatically reduced.

While the invention has been particularly shown and described with reference to a preferred embodiment, it will be understood by those skilled in the art that various changes in form and detail may be made therein without departing from the spirit and scope of the invention.

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What is claimed is:

1. A method for operating a color printer comprising the steps of:  
printing a target having a plurality of color samples thereon;  
measuring a color spectra for each of said plurality of color  
5 samples;  
generating tristimulus values from said measured color spectra;  
establishing tone reproduction curves and initial colorant values;  
creating an array of data for each of said plurality of color  
samples, including said tristimulus values associated therewith;  
10 regressing said initial colorant values for each hex using said data  
from said array;  
storing optimized colorant values calculated from said regression  
step as a profile; and  
printing images on said printer using said profile.
- 15 2. A method for operating a color printer comprising the steps of:  
defining a color space of said color printer as a plurality of  
different color segments;  
selecting a first color segment;  
determining errors in printed color samples associated with said  
20 first color segment;  
varying colorant values associated with said first color segment to  
diffuse said errors over the color space;  
repeating said selecting, determining and varying steps for each  
of said plurality of different color segments to determine a plurality of varied  
25 colorant values; and  
printing images using said varied colorant values.

- 20 -

3. The method of claim 2, wherein said step of selecting further comprises the step of:  
selecting color segments based on a decreasing order of perceptual importance.

5 4. The method of claim 2, wherein said step of determining further comprises the step of:  
calculating a loss function which represents perceptual errors in a first color space.

10 5. The method of claim 4, wherein said step of varying further comprises:  
regressing said colorant values in a second color space.

6. A method for automatically generating printer profiles comprising the steps of:  
printing a target having a plurality of color samples thereon;  
15 measuring a color spectra for each of said plurality of color samples;  
generating colorimetric values which are representative of said measured color spectra;  
establishing tone reproduction curves and initial colorant values  
20 using said colorimetric values;  
regressing said initial colorant values for each hex; and  
storing optimized colorant values calculated from said regression step as a profile.



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7. The method of claim 6, further comprising the step of:  
recording said measured color spectra as reflection factors in a  
spectral data file.

8. The method of claim 7, wherein said step of generating  
5 colorimetric values further comprises the steps of:  
reading reflection factors from said spectral data file; and  
multiplying said reflection factors by observer weights to  
generate tristimulus values as said colorimetric values.

9. The method of claim 6, wherein said step of establishing tone  
10 reproduction curves and initial colorant values further comprises the steps of:  
generating a raw gray scale;  
correcting said raw gray scale to provide a predetermined  
contrast level;  
creating said tone reproduction curves by weighting and adding  
15 said raw gray scale and said corrected raw gray scale; and  
setting said initial colorant values equal to corresponding  
measured color samples.

10. The method of claim 6, further comprising the step of:  
defining a color space of said printer as a plurality of different  
20 color segments.

11. The method of claim 10, wherein the step of regressing said  
initial colorant values further comprises the step of:  
ordering color segments for regression based on decreasing  
perceptual importance.

12. The method of claim 10, wherein the step of regressing further  
25 comprises the steps of:

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determining a colorant change value;  
computing a current colorant value based on said initial colorant  
value and said colorant change value; and  
computing a loss function based on said current colorant value.

- 5           13.    The method of claim 12, wherein the step of regressing further  
comprises the steps of:  
              calculate an average value of said loss function in a current color  
segment for each possible colorant change;  
              determine if said average value is within predetermined  
10   regression limits;  
              if so, move to the next color segment and begin regression in that  
segment; and  
              if not, perform another regression.

14.    The method of claim 6, wherein said step of regressing further  
15   comprises the steps of:  
              determining colorant change values in a first color space; and  
              calculating a loss function in a second color space.

15.    The method of claim 14, wherein said first color space is XYZ  
and said second color space is CIELAB.

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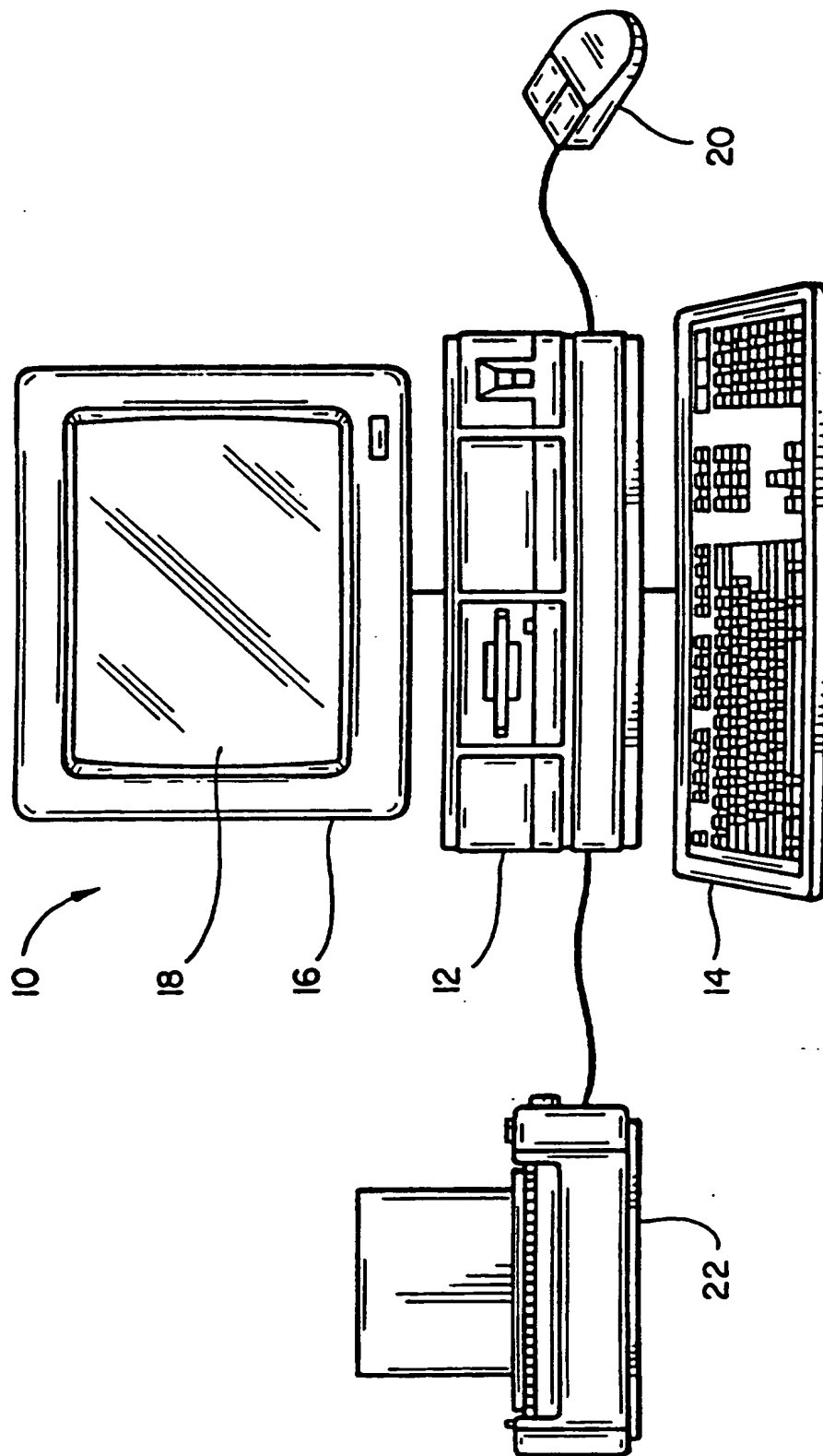
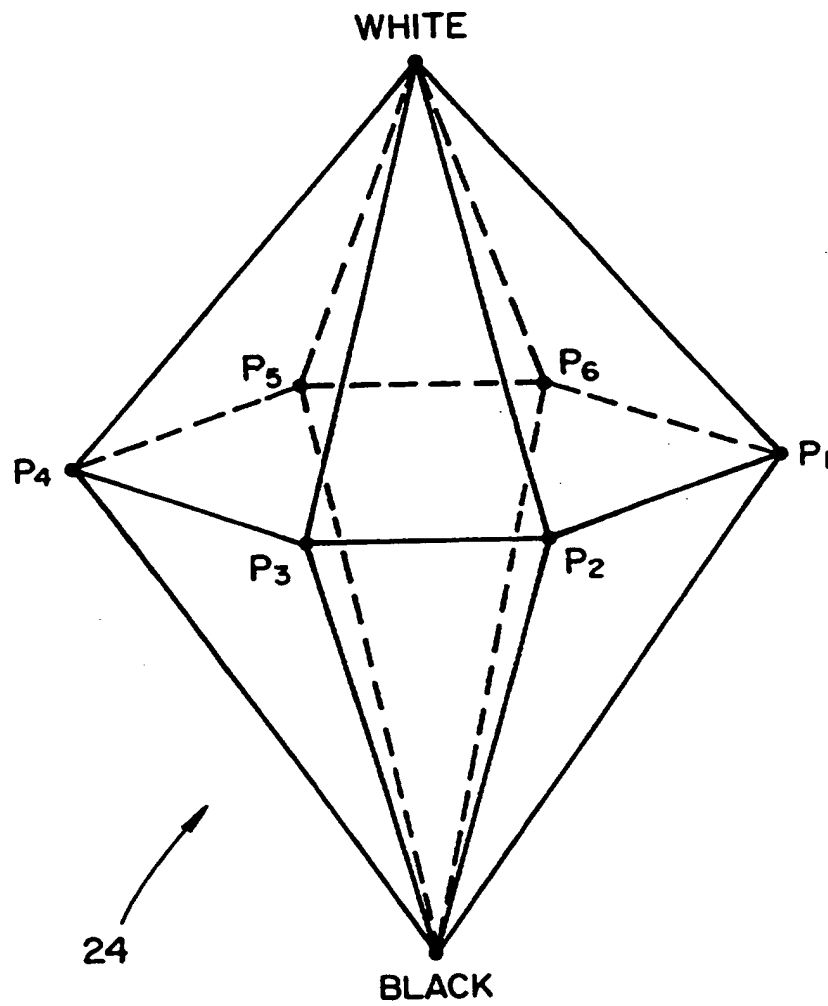
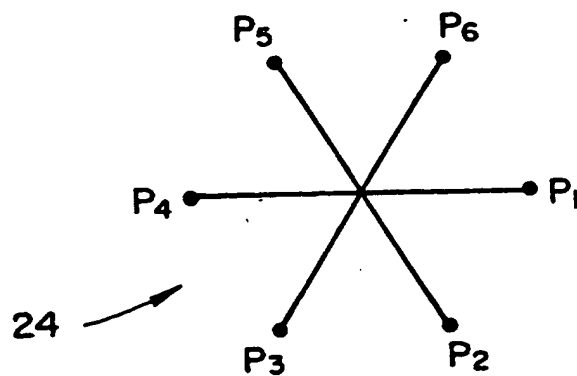


FIG-1

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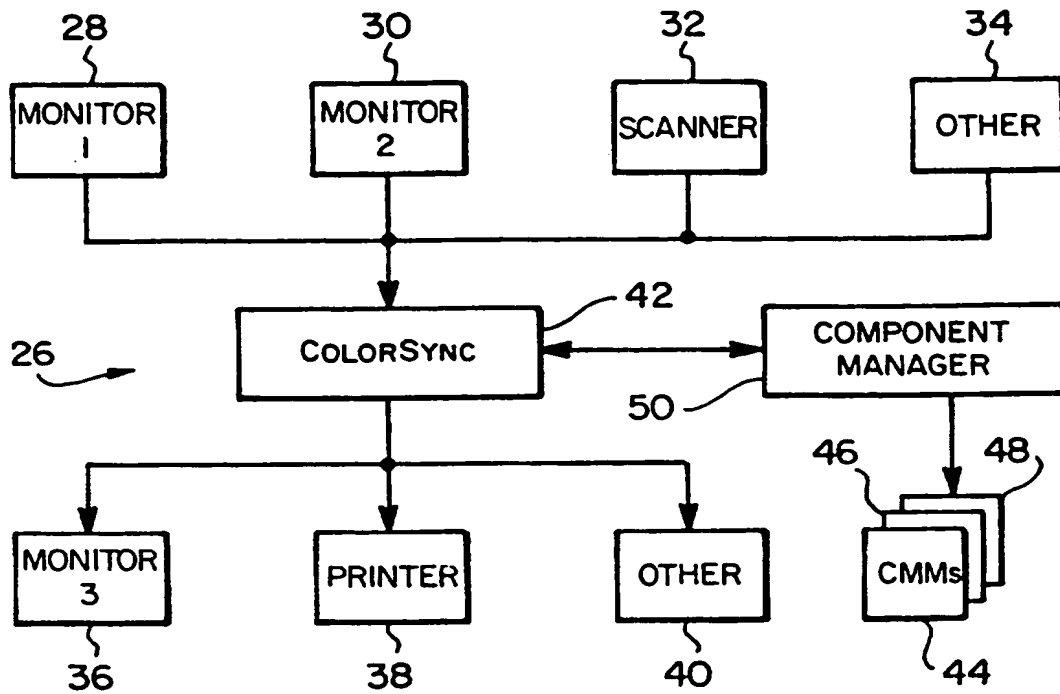
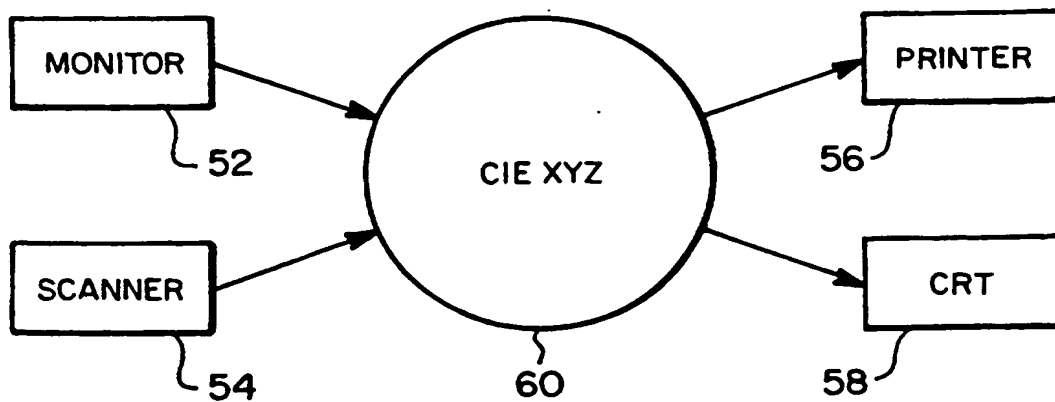


**FIG\_2a**



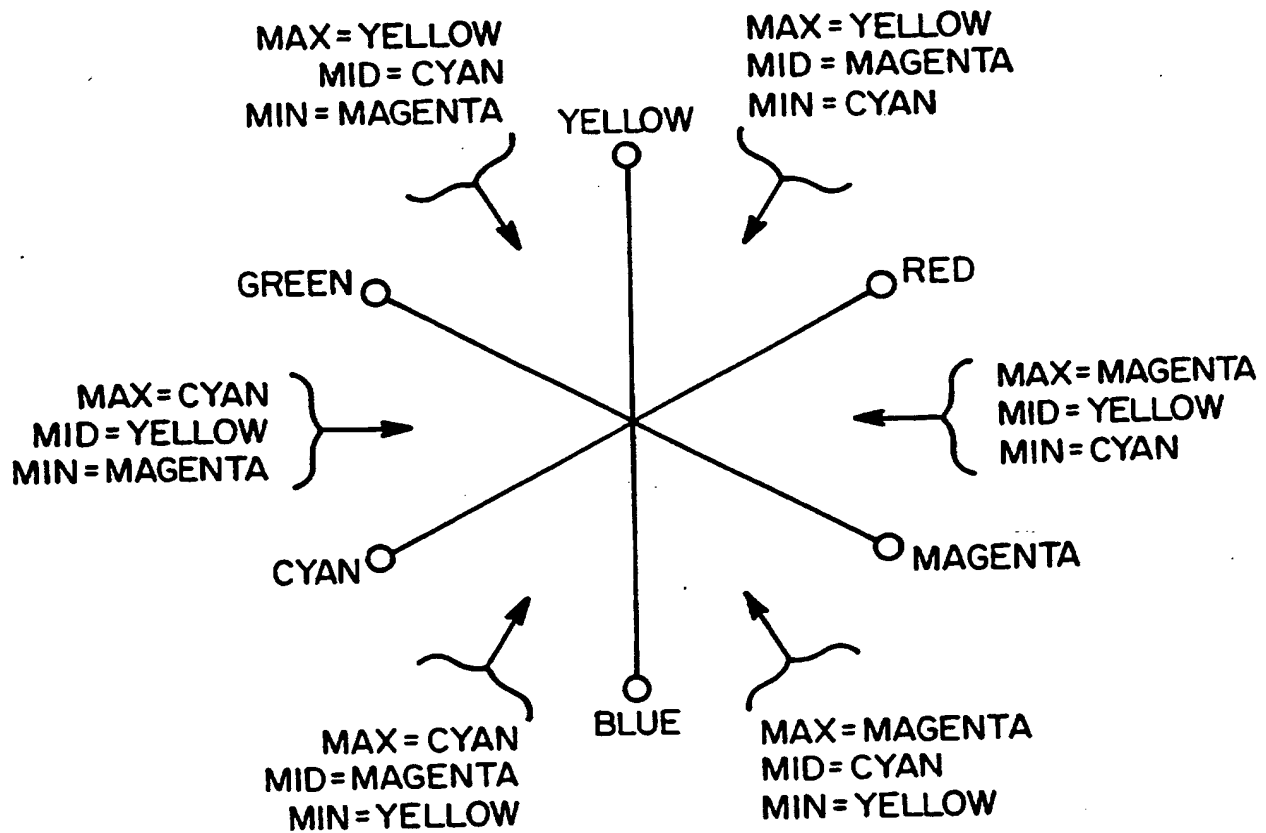
**FIG\_2b**

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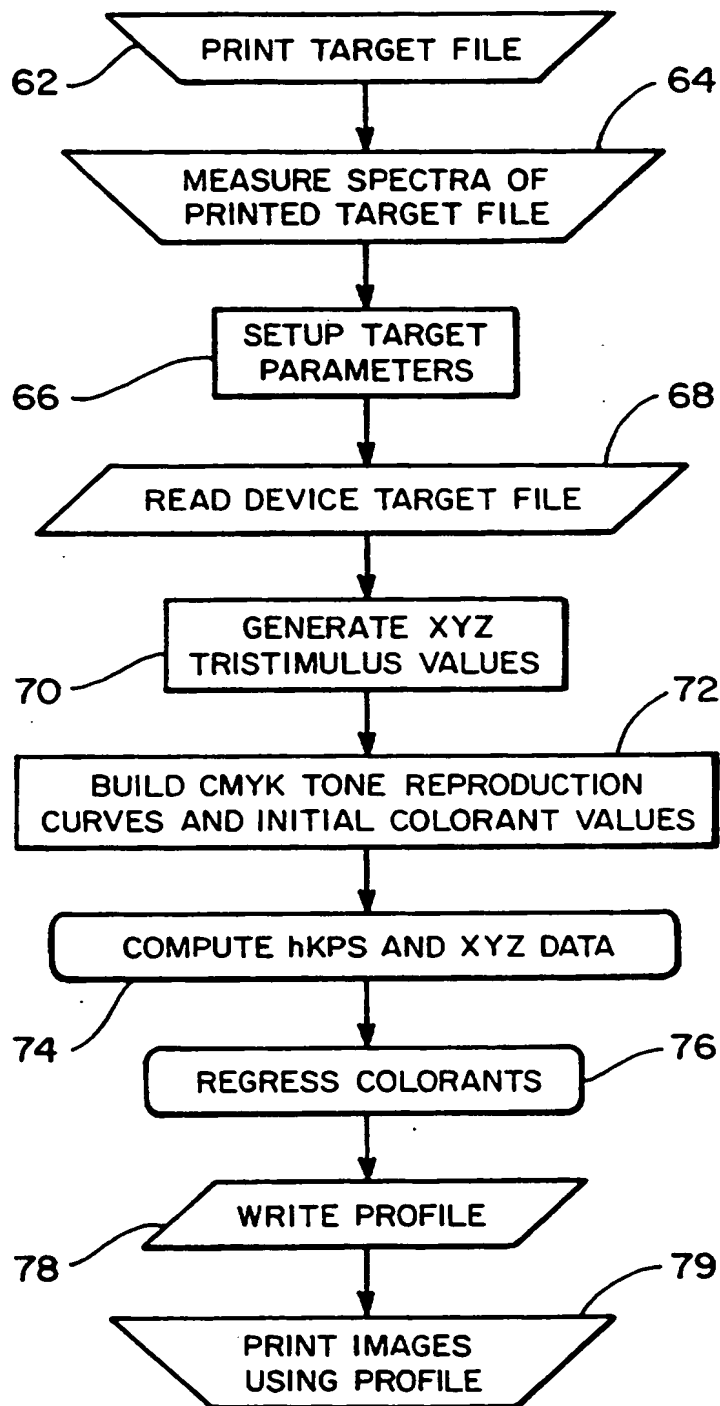
**FIG\_3****FIG\_4**

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XYZ → KPS → CMY → cmy → rgb

**FIG\_5a****FIG\_5b**

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**FIG\_6**



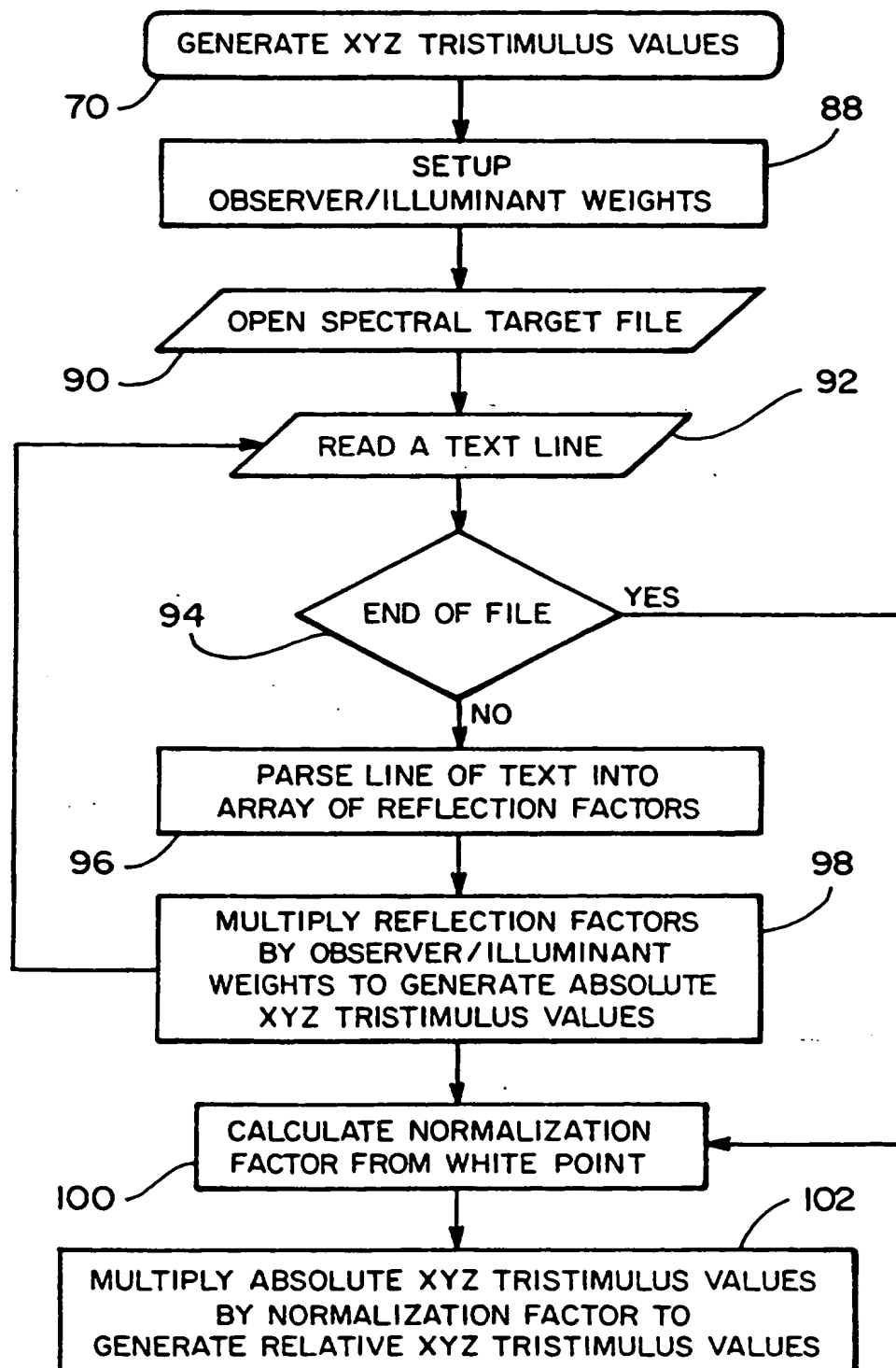
**FIG\_7**



**FIG\_16**

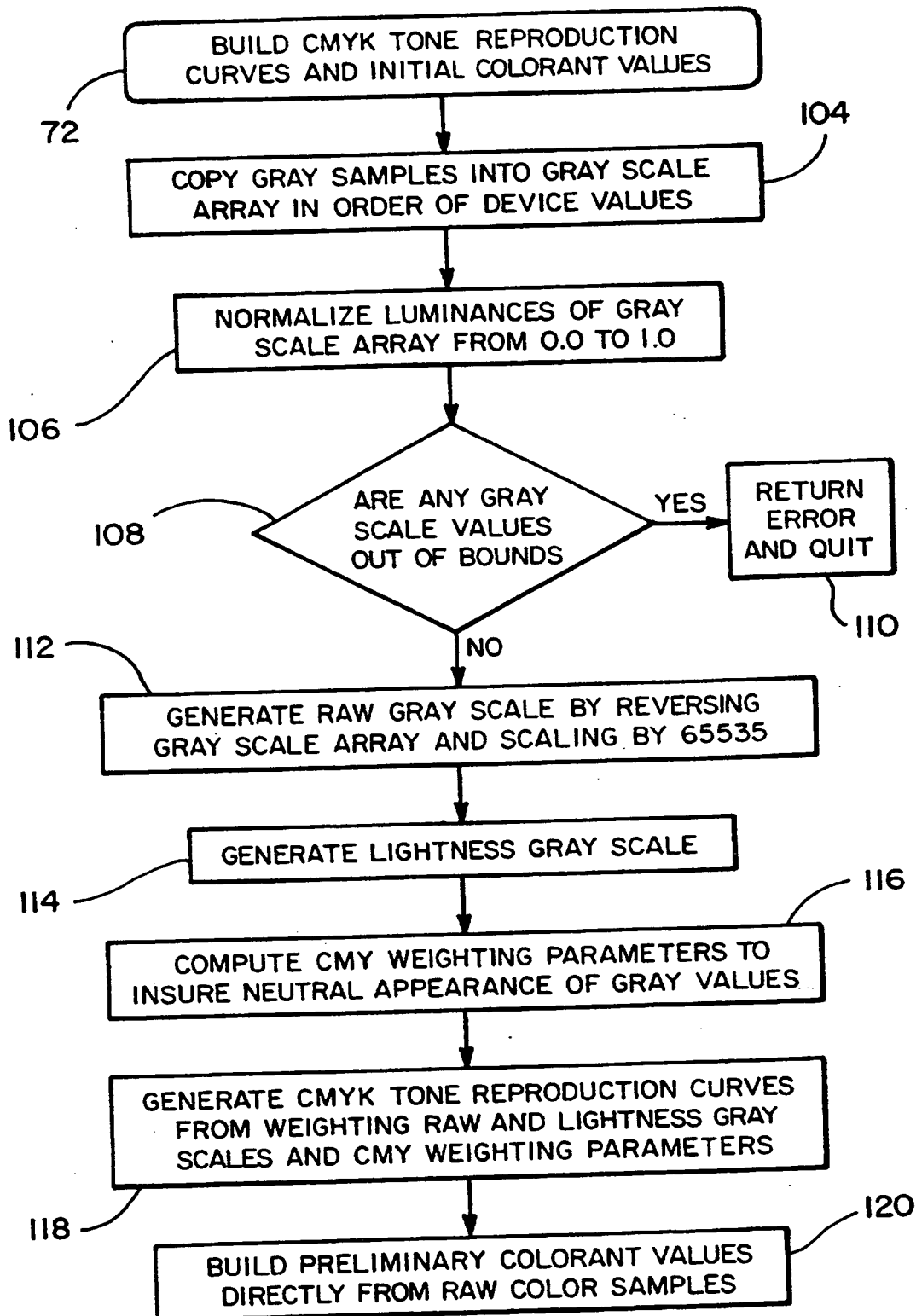


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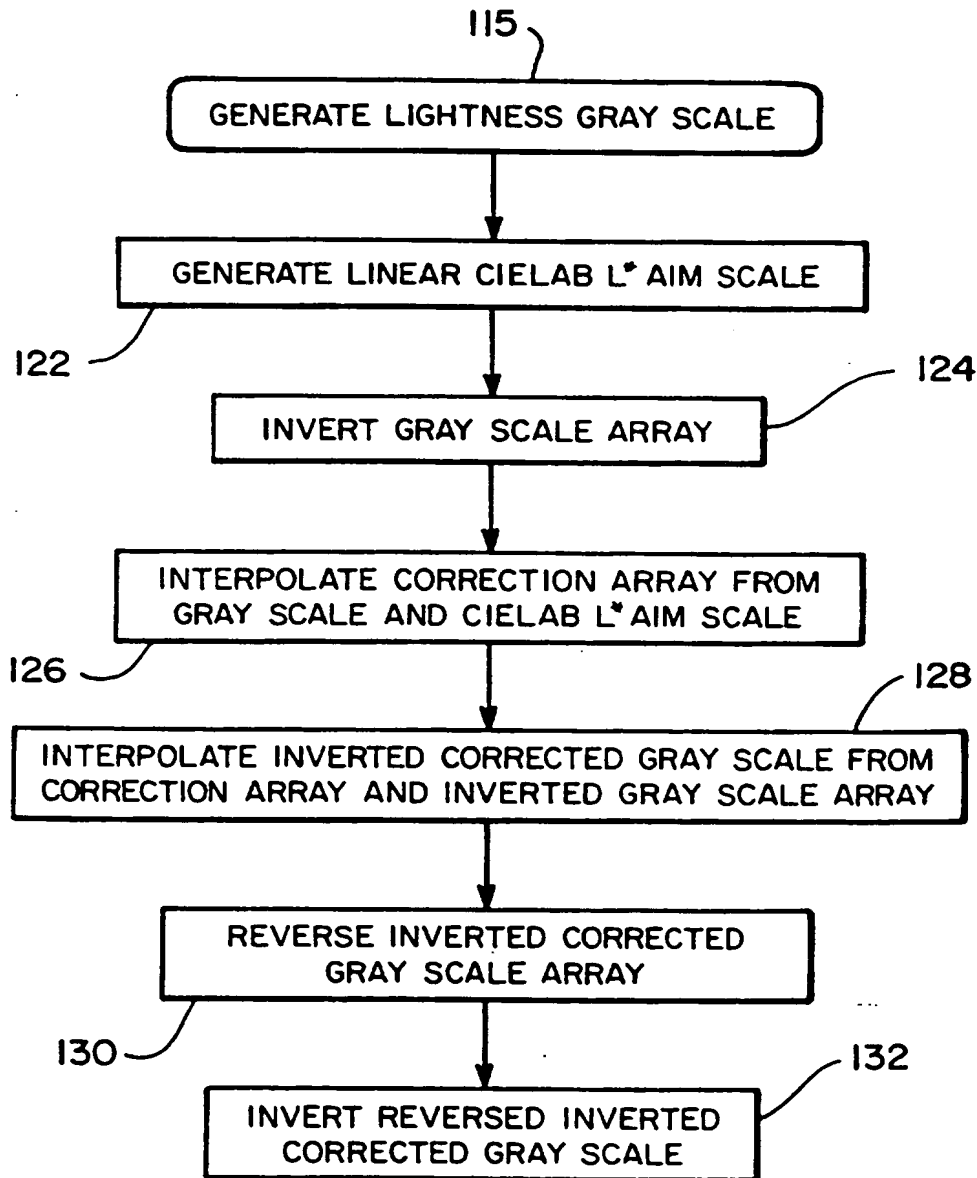
FIG\_8

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FIG\_9

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**FIG 10**

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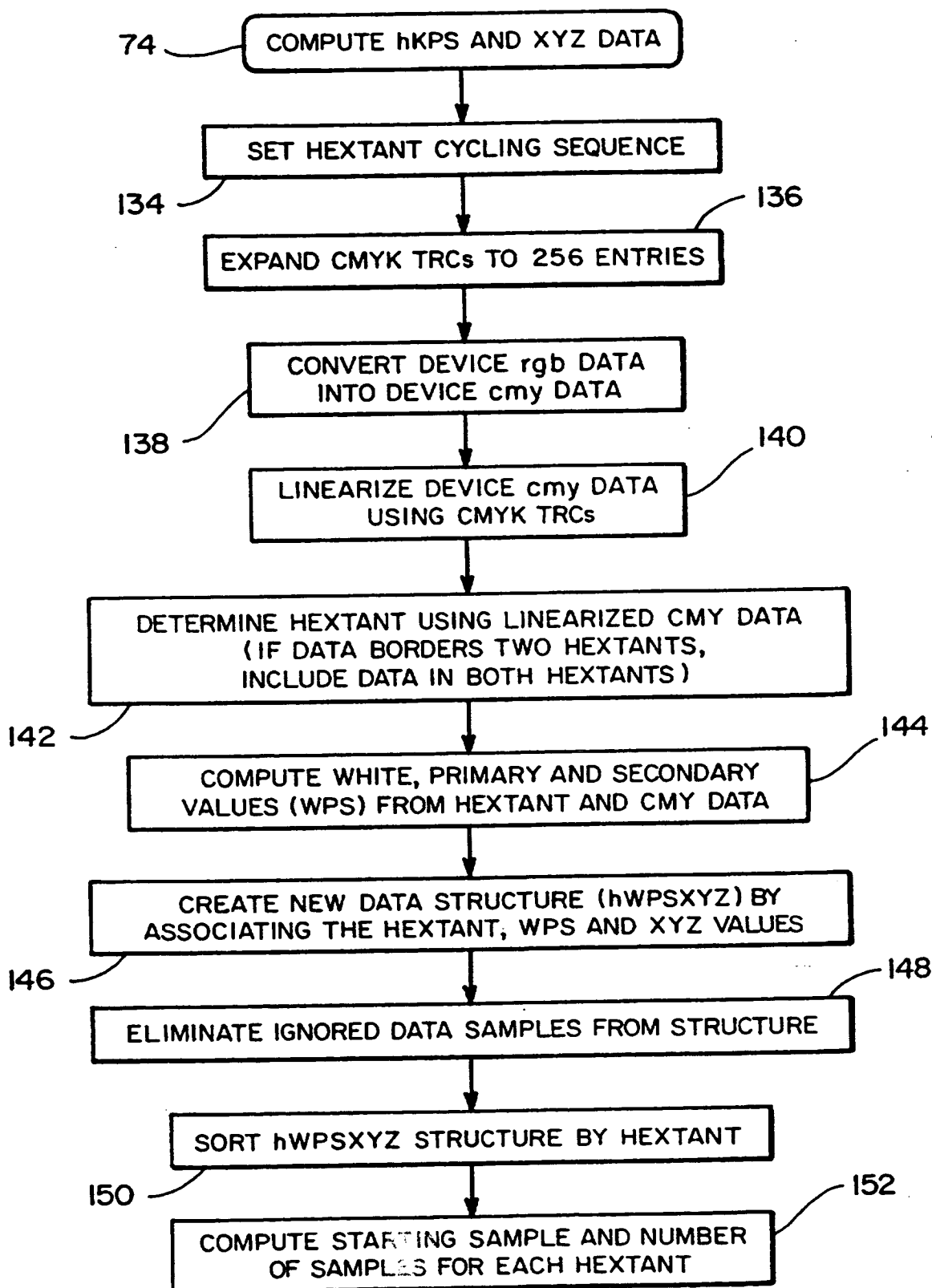
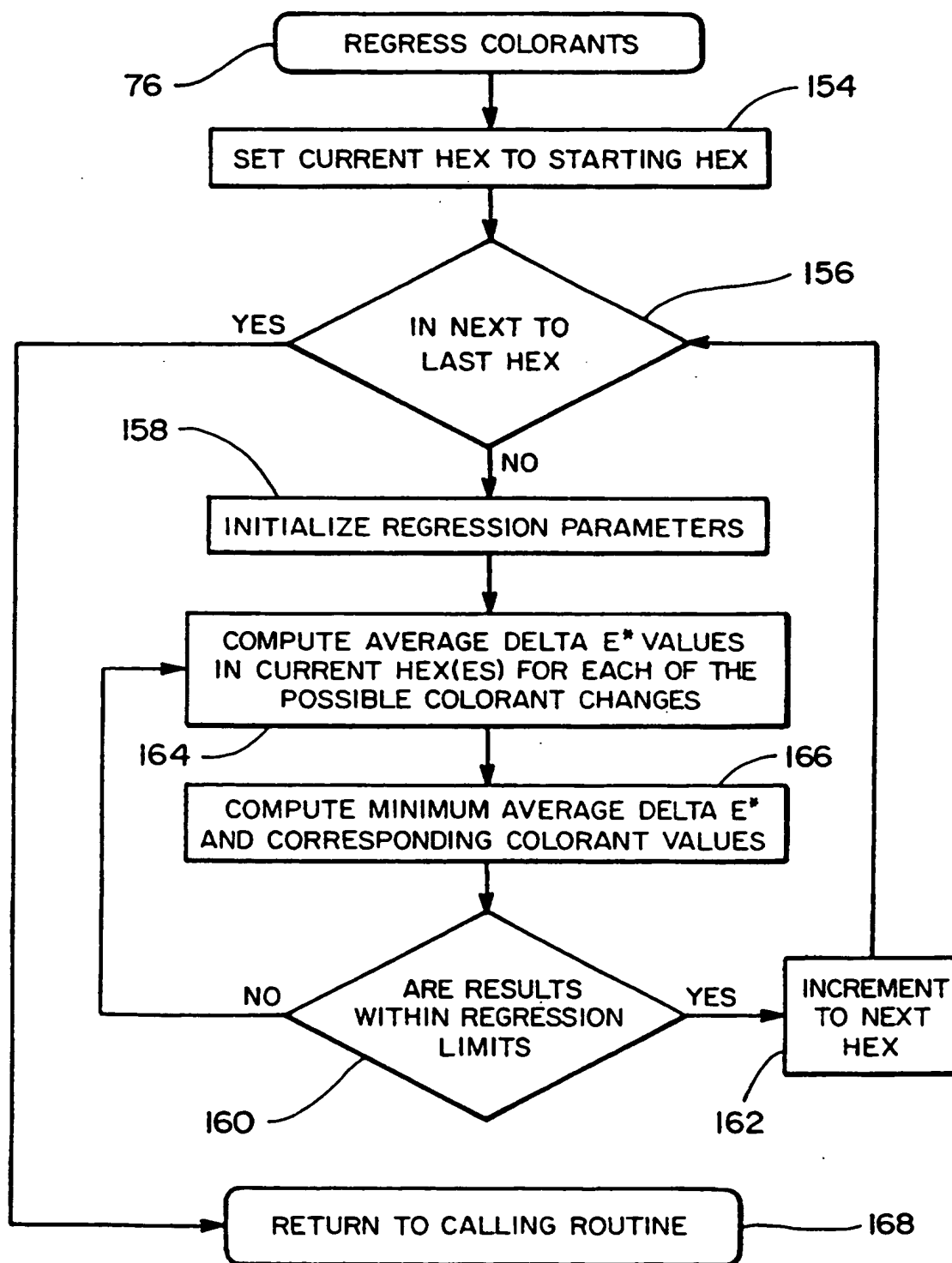
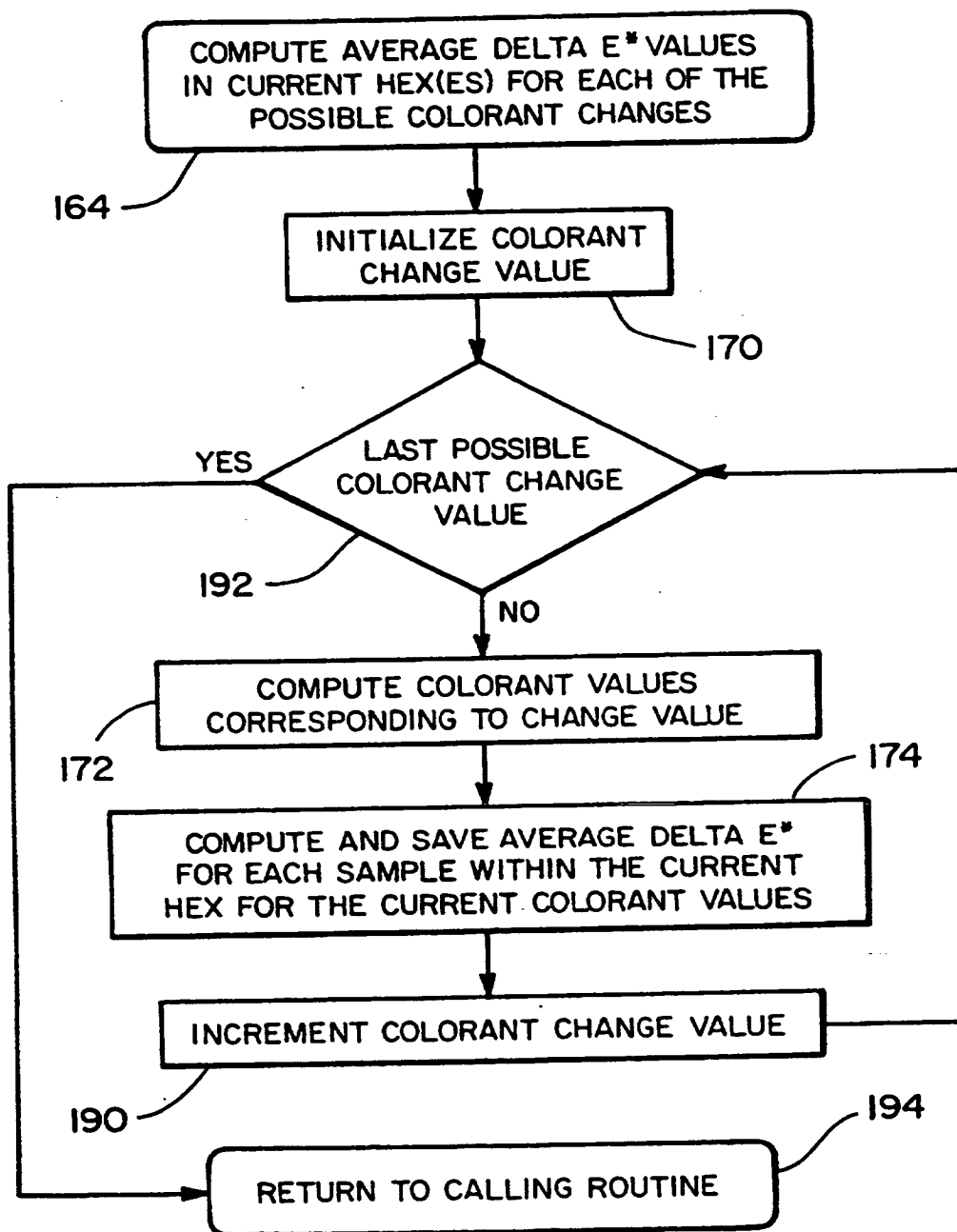


FIG. 11

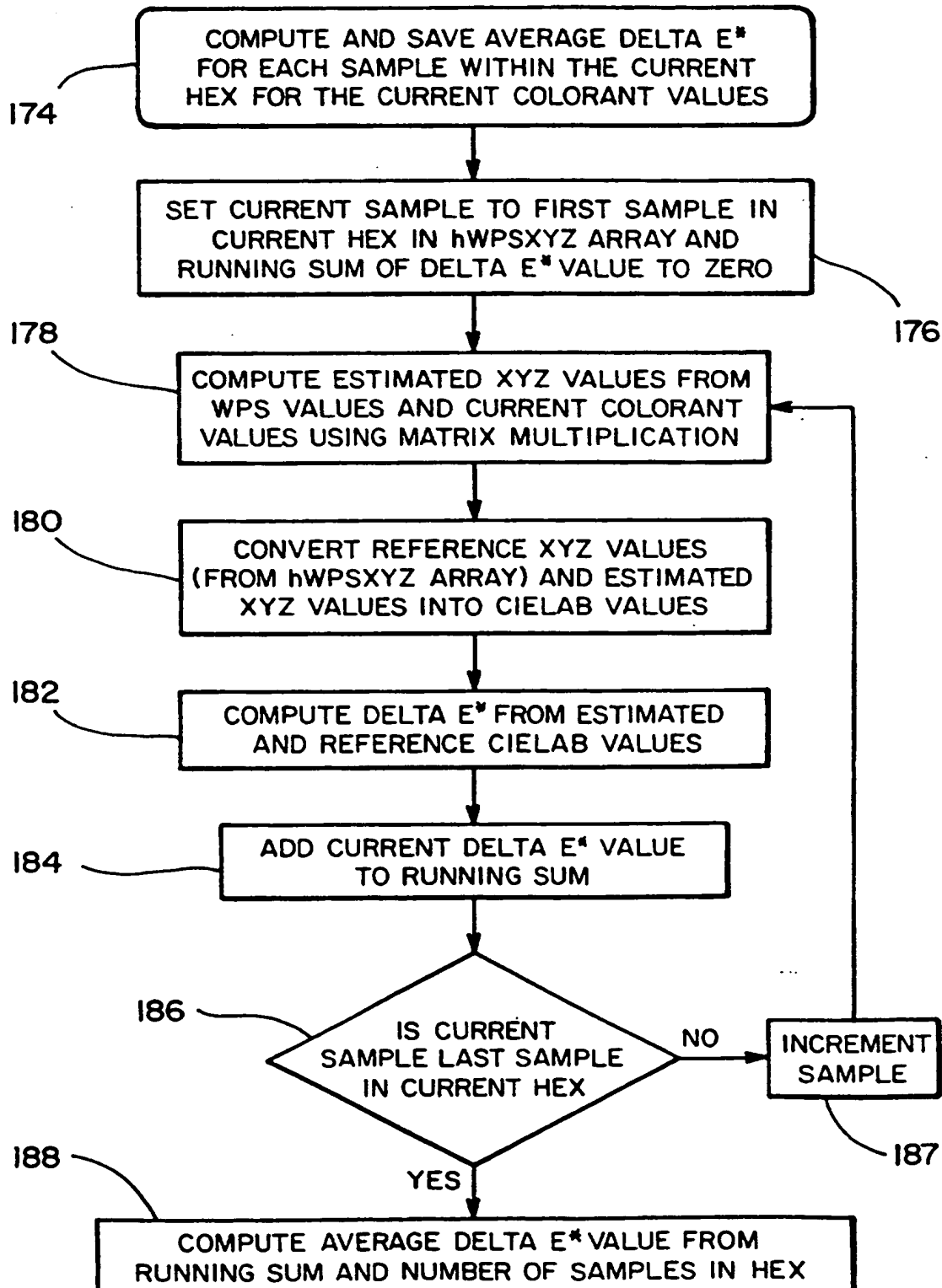
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**FIG\_12**

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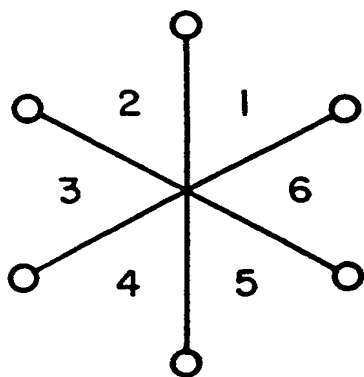
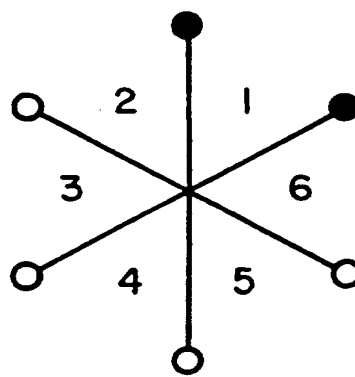
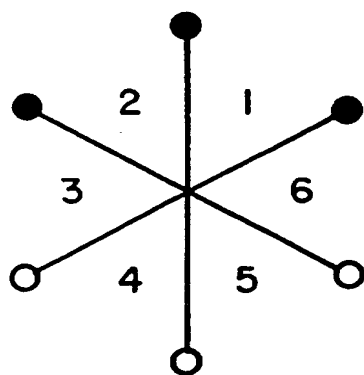
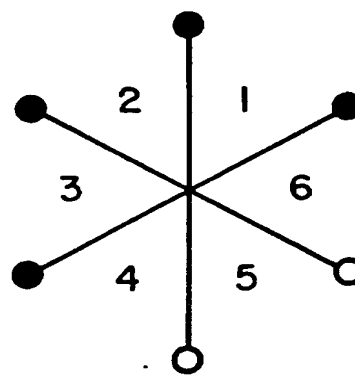
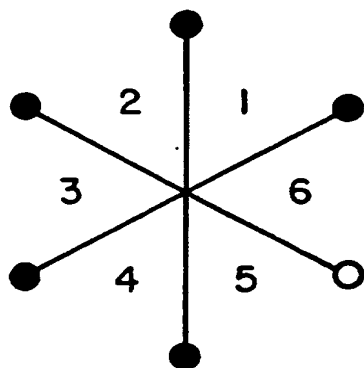
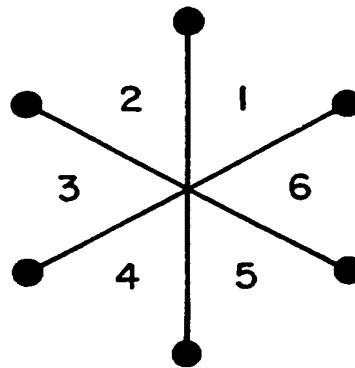
**FIG\_13**

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FIG\_14

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*FIG\_15a**FIG\_15b**FIG\_15c**FIG\_15d**FIG\_15e**FIG\_15f*



# INTERNATIONAL SEARCH REPORT

International Application No  
**PCT/US 95/11585**

**A. CLASSIFICATION OF SUBJECT MATTER**  
**IPC 6 G06T11/00**

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)  
**IPC 6 G06T**

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
E	EP,A,0 676 892 (XEROX CORP) 11 October 1995 see page 1; figure 2 ---	1
A	EP,A,0 475 554 (SCITEX CORP LTD) 18 March 1992 see page 4, line 10 - page 9, line 45 ---	1-15
P,A	EP,A,0 626 782 (EASTMAN KODAK CO) 30 November 1994 ---	
A	ACM TRANSACTIONS ON GRAPHICS, vol. 11, no. 4, 1 October 1992 pages 373-405, XP 000335146 KASSON J M ET AL 'AN ANALYSIS OF SELECTED COMPUTER INTERCHANGE COLOR SPACES' ---	
A	EP,A,0 590 921 (XEROX CORP) 6 April 1994 -----	

☐ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

\* Special categories of cited documents:

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- "E" earlier document but published on or after the international filing date
- "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
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- "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
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- "A" document member of the same patent family

Date of the actual completion of the international search

**16 January 1996**

Date of mailing of the international search report

**0 1. 02. 96**

Name and mailing address of the ISA

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Fax: (+31-70) 340-3016

Authorized officer

**Perez Molina, E**

# INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No.

PCT/US 95/11585

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
EP-A-0676892	11-10-95	US-A- 5471324 JP-A- 7307872	28-11-95 21-11-95
EP-A-0475554	18-03-92	CA-A- 2035658 EP-A- 0449407 JP-A- 5153380 CA-A- 2059193 EP-A- 0495563 JP-A- 4316848	06-08-91 02-10-91 18-06-93 16-07-92 22-07-92 09-11-92
EP-A-0626782	30-11-94	JP-A- 7095431	07-04-95
EP-A-0590921	06-04-94	US-A- 5305119 JP-A- 6141175	19-04-94 20-05-94

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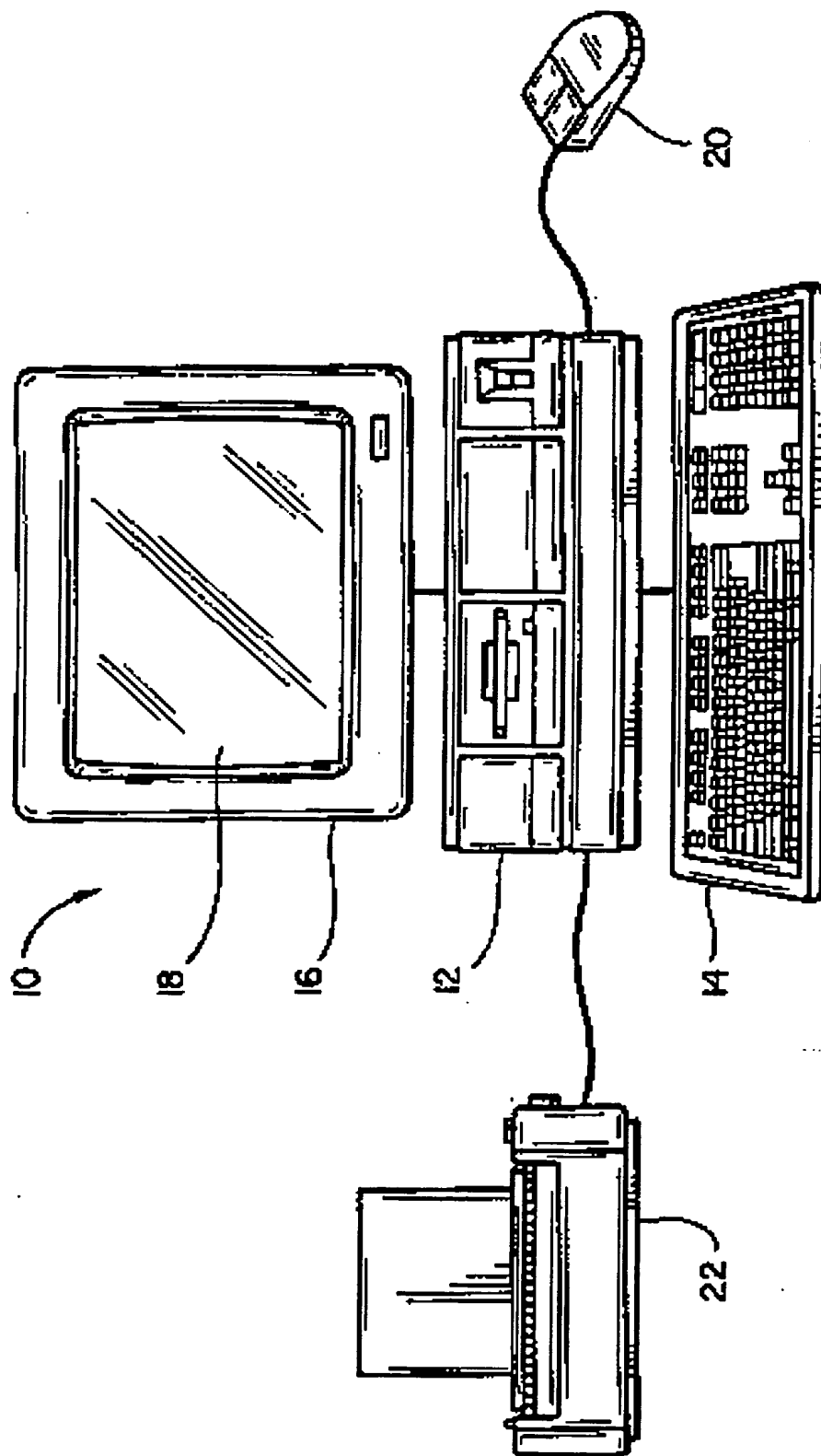
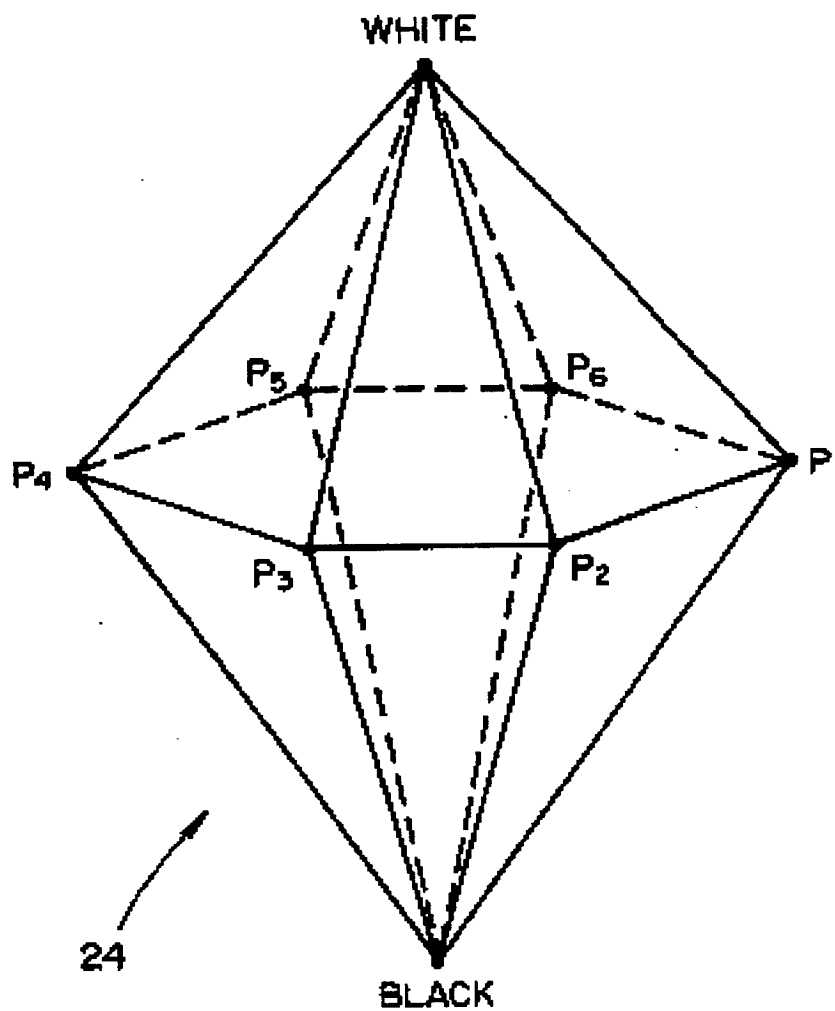
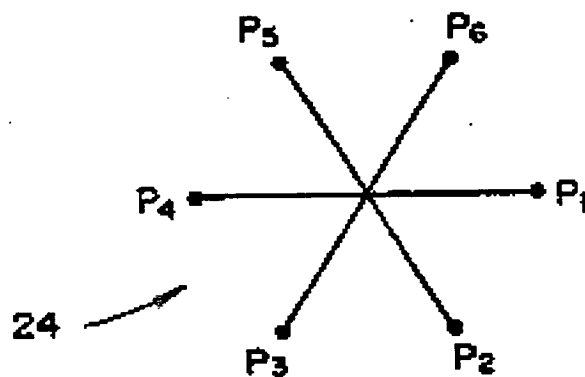


FIG. 1

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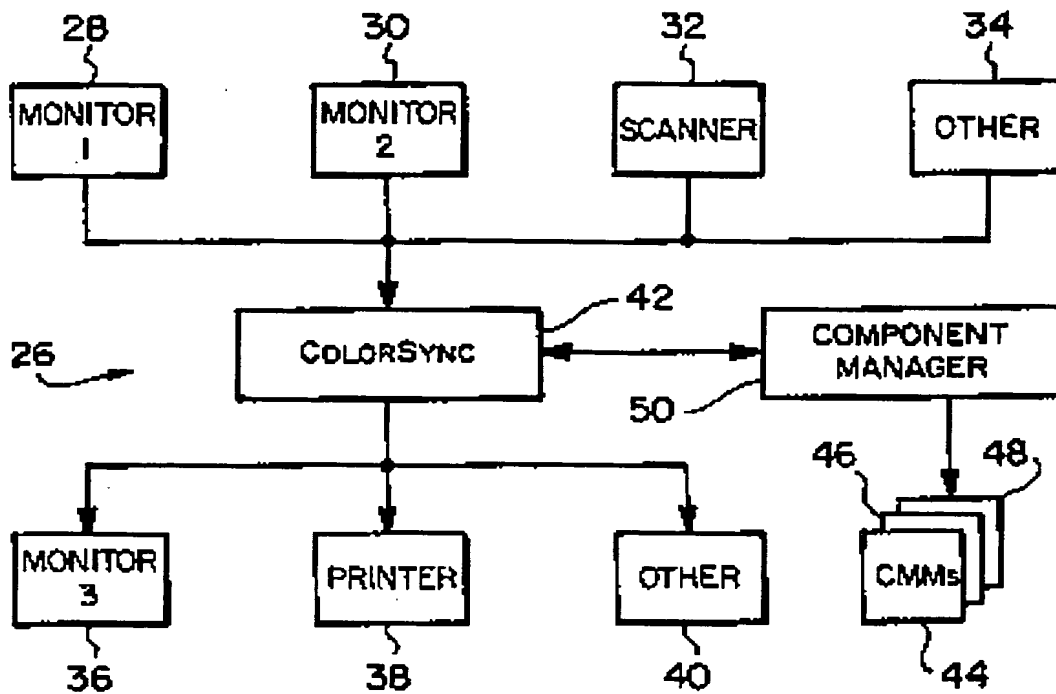
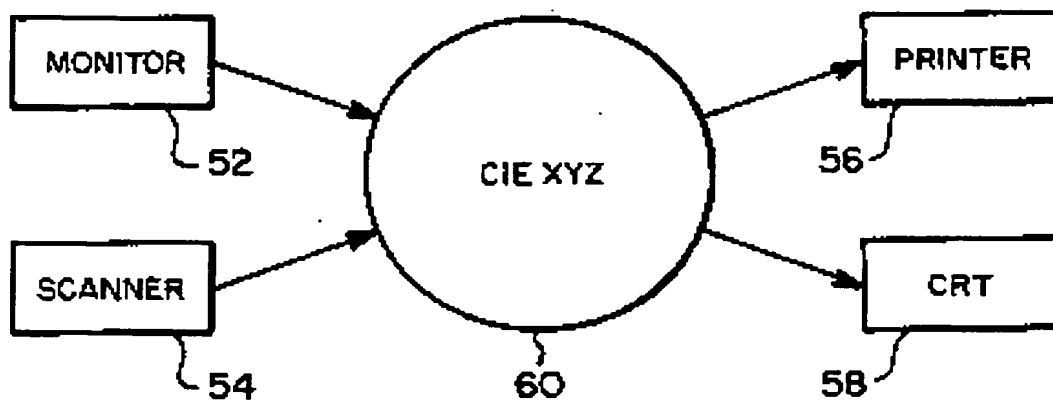


**FIG\_2a**

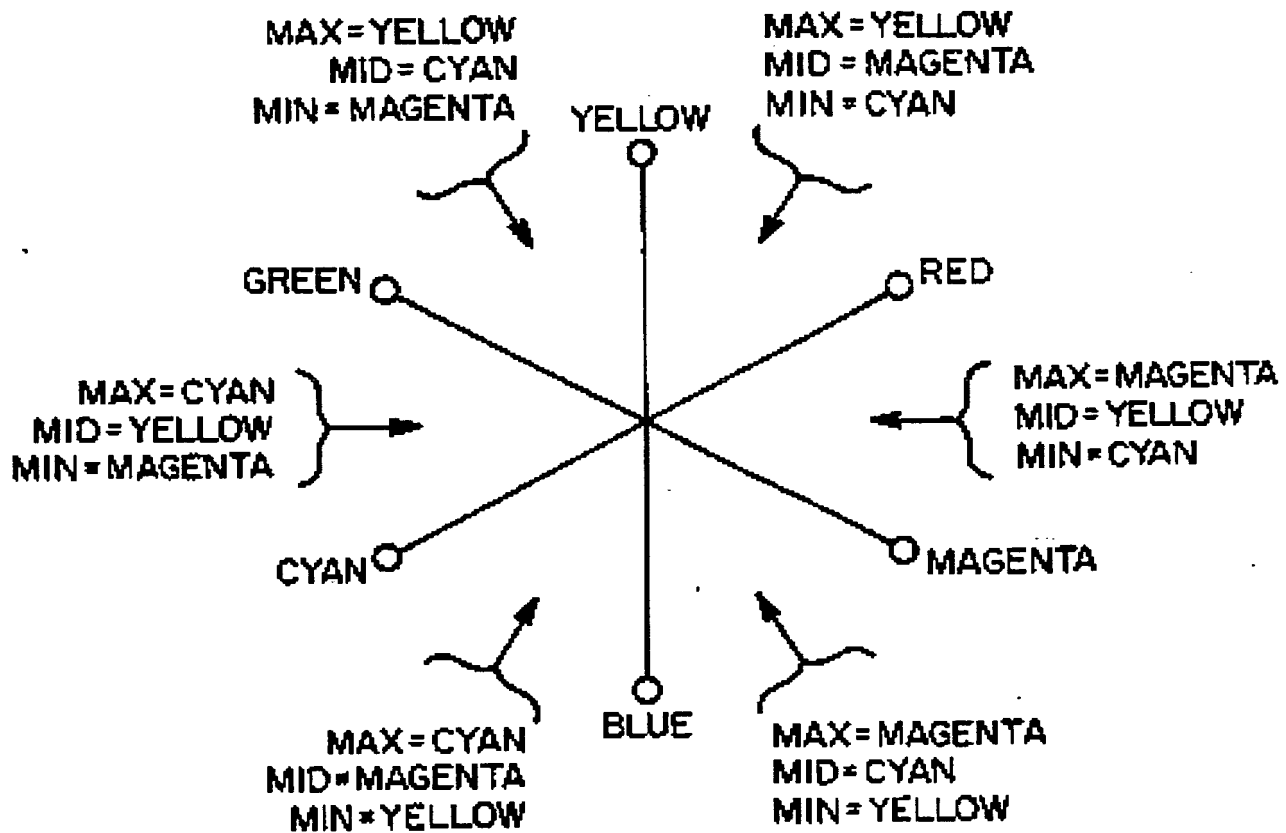


**FIG\_2b**

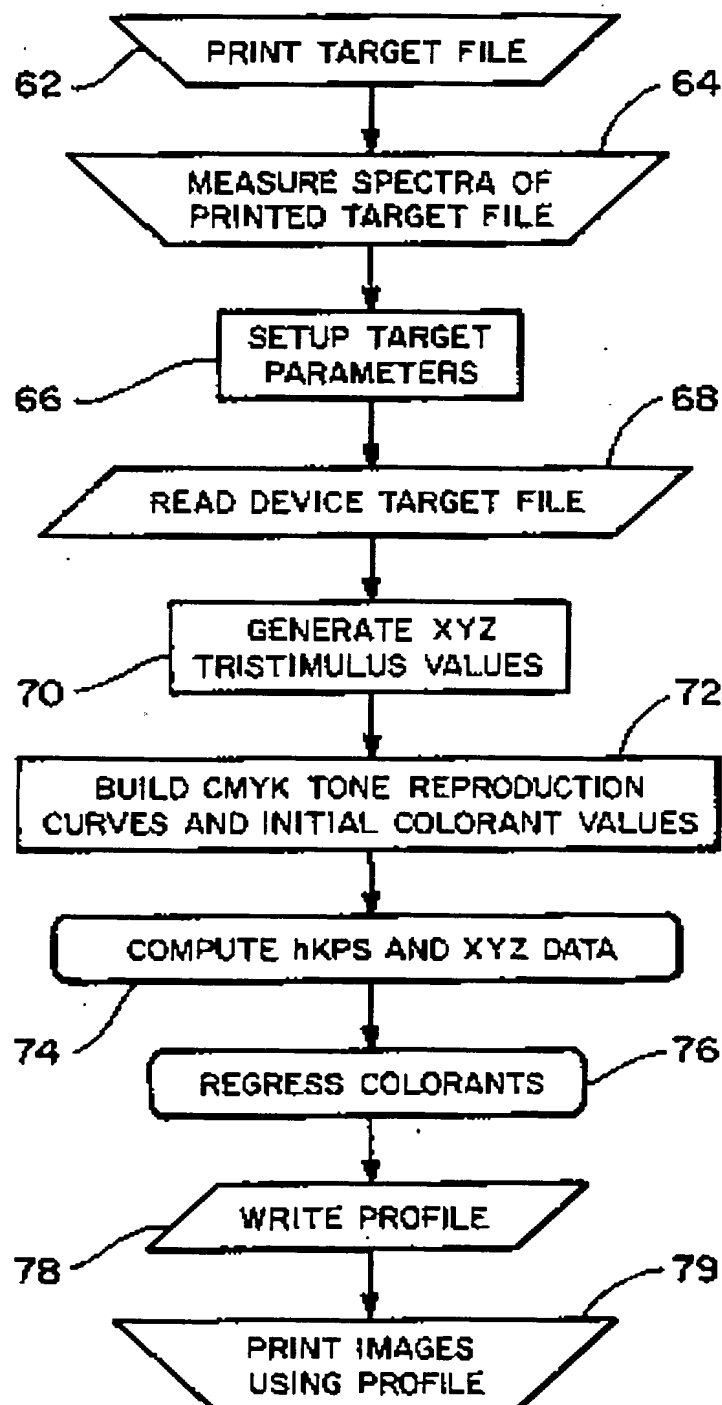
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**FIG\_3****FIG\_4**

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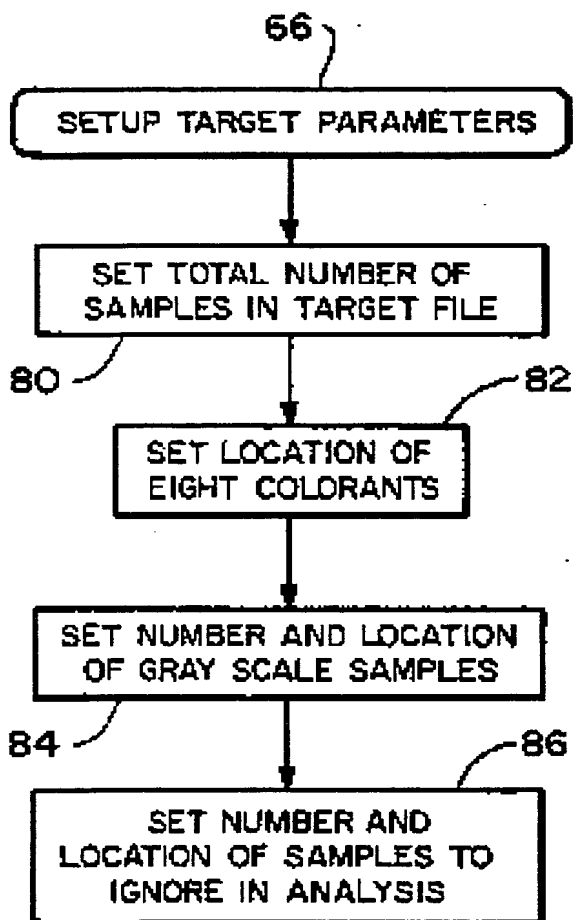
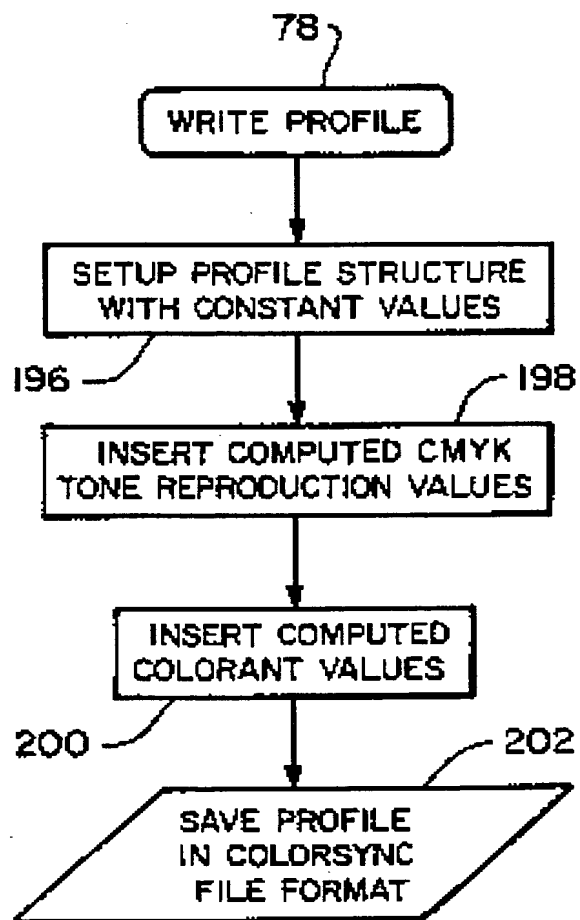
XYZ  $\longrightarrow$  KPS  $\longrightarrow$  CMY  $\longrightarrow$  cmy  $\longrightarrow$  rgb**FIG\_5a****FIG\_5b**

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FIG\_6

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**FIG\_7****FIG\_16**



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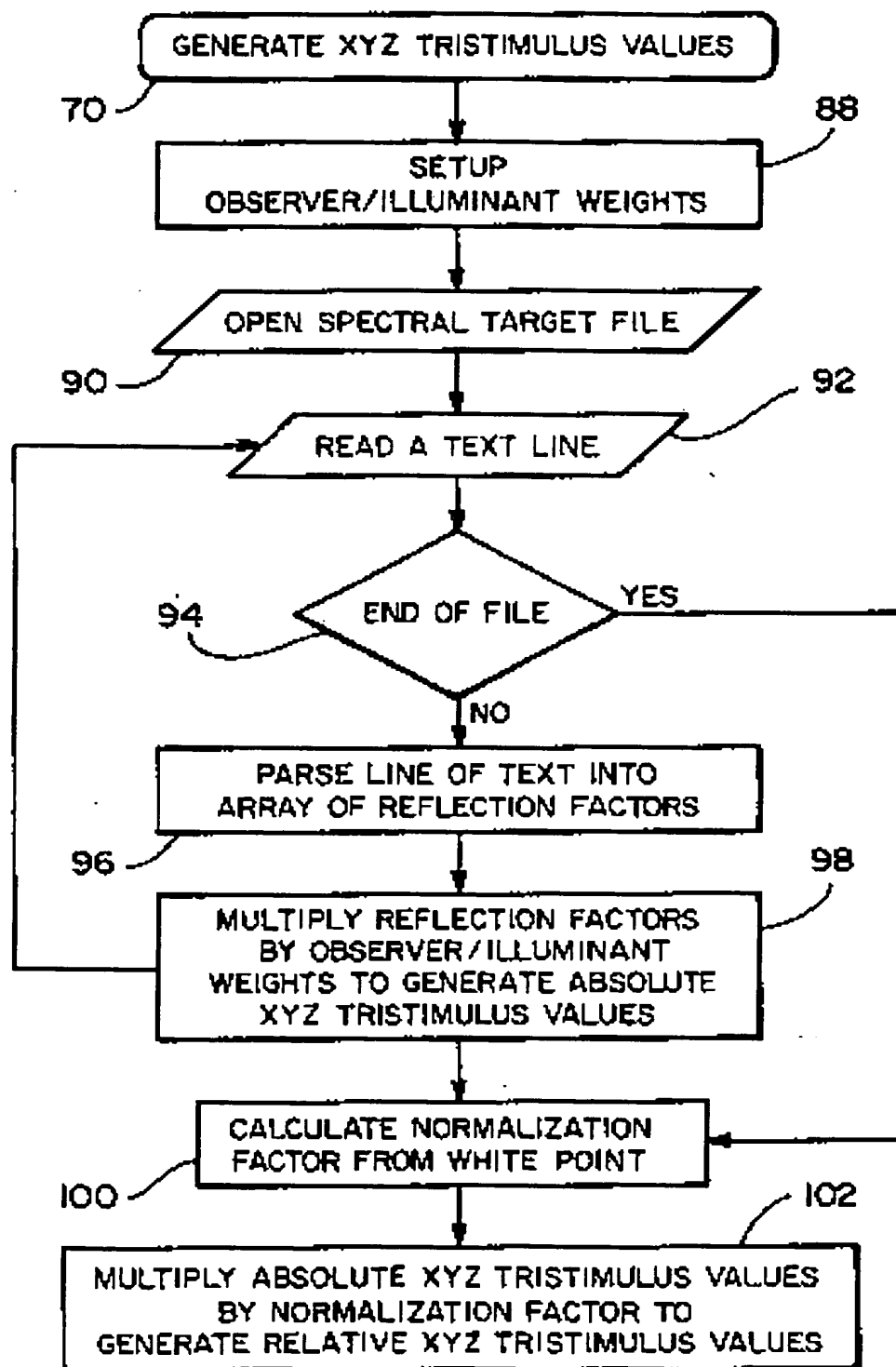
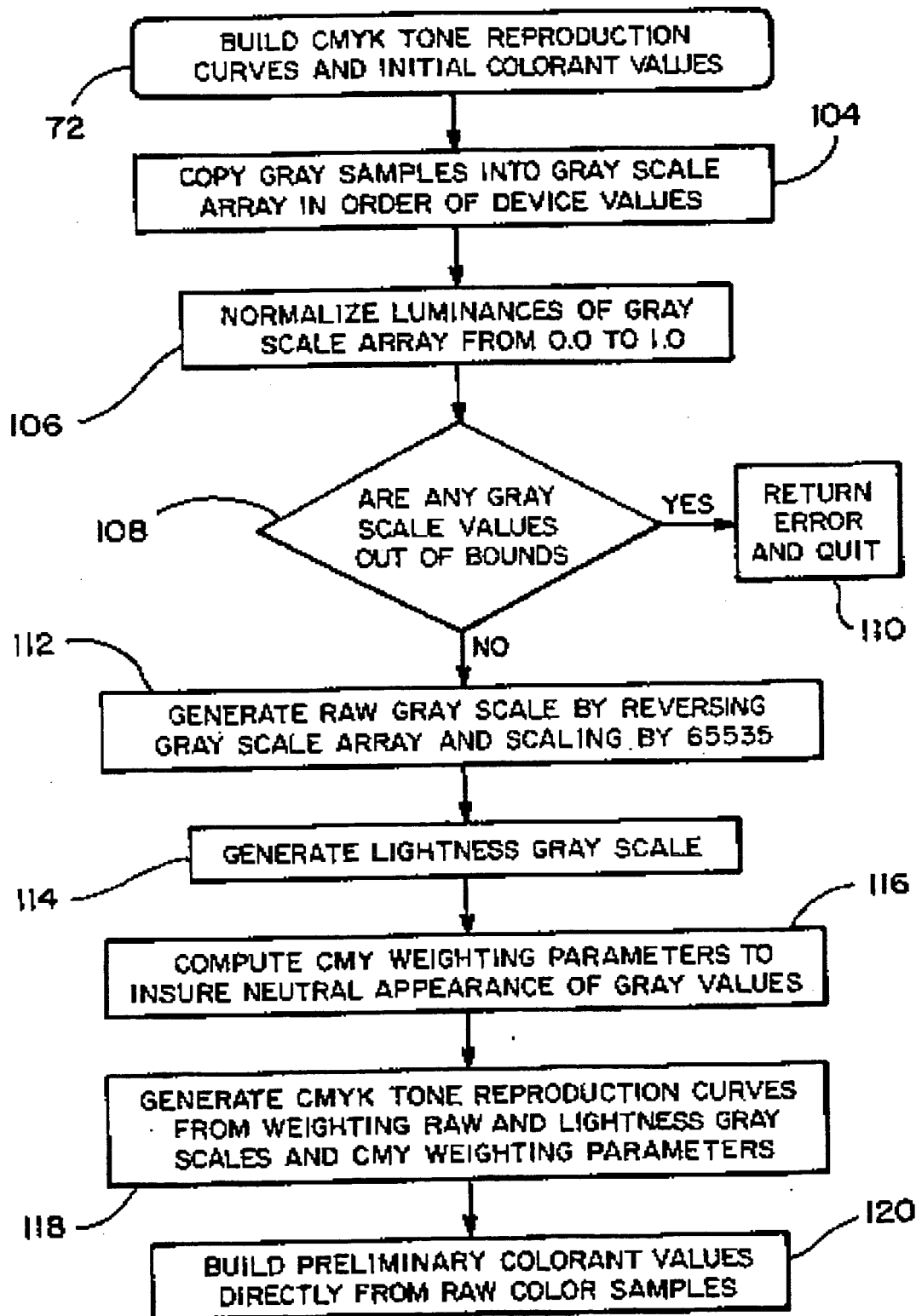
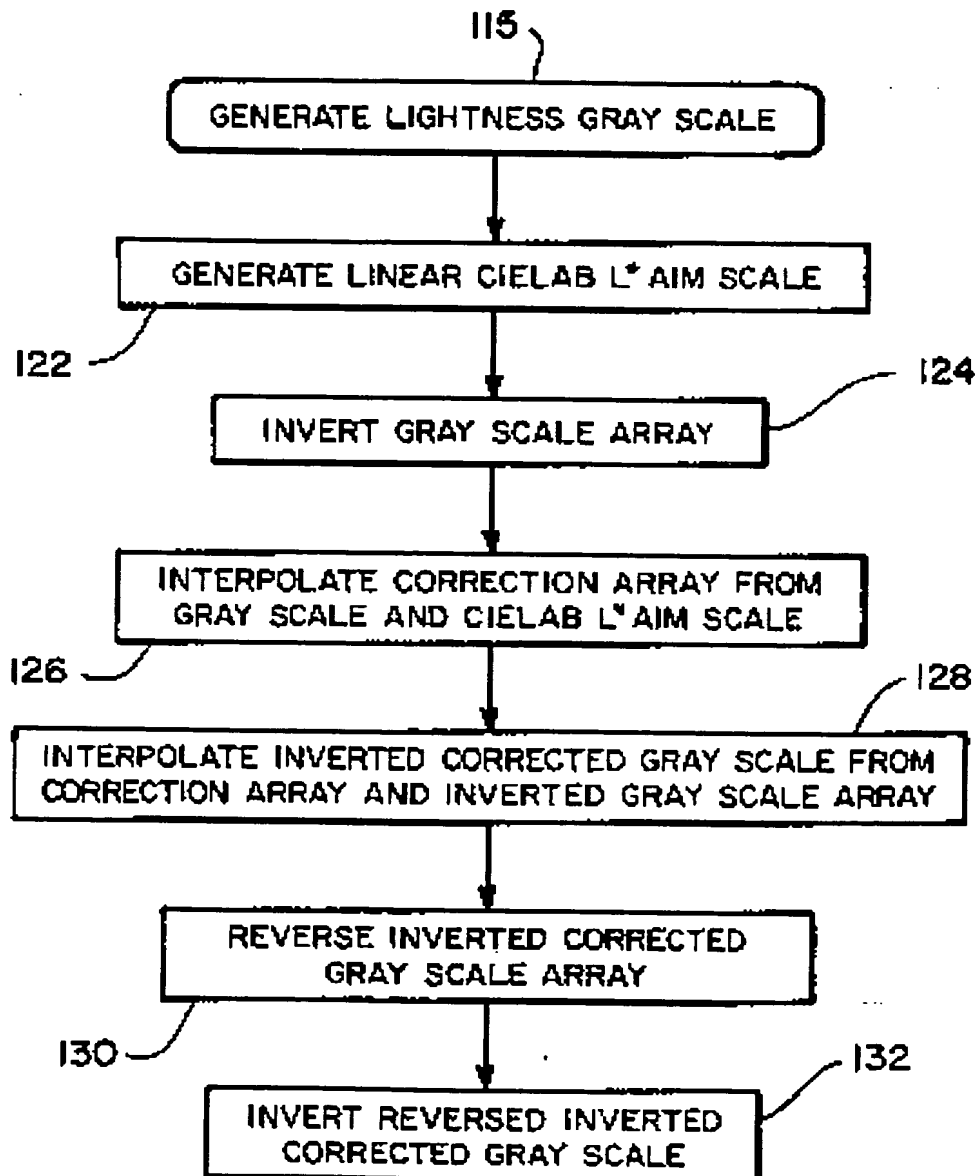


FIG. 8

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**FIG. 9**

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**FIG. 10**

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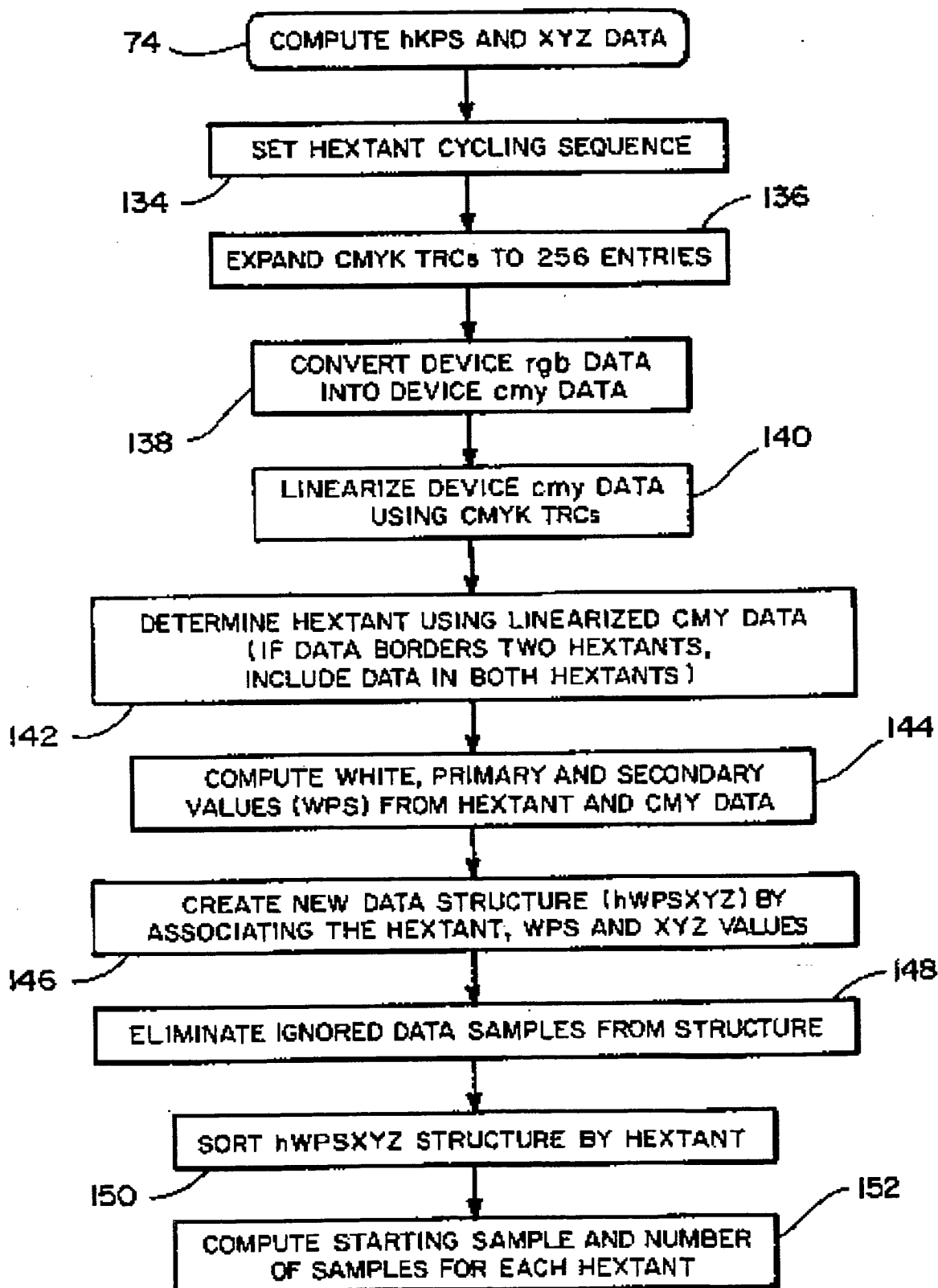
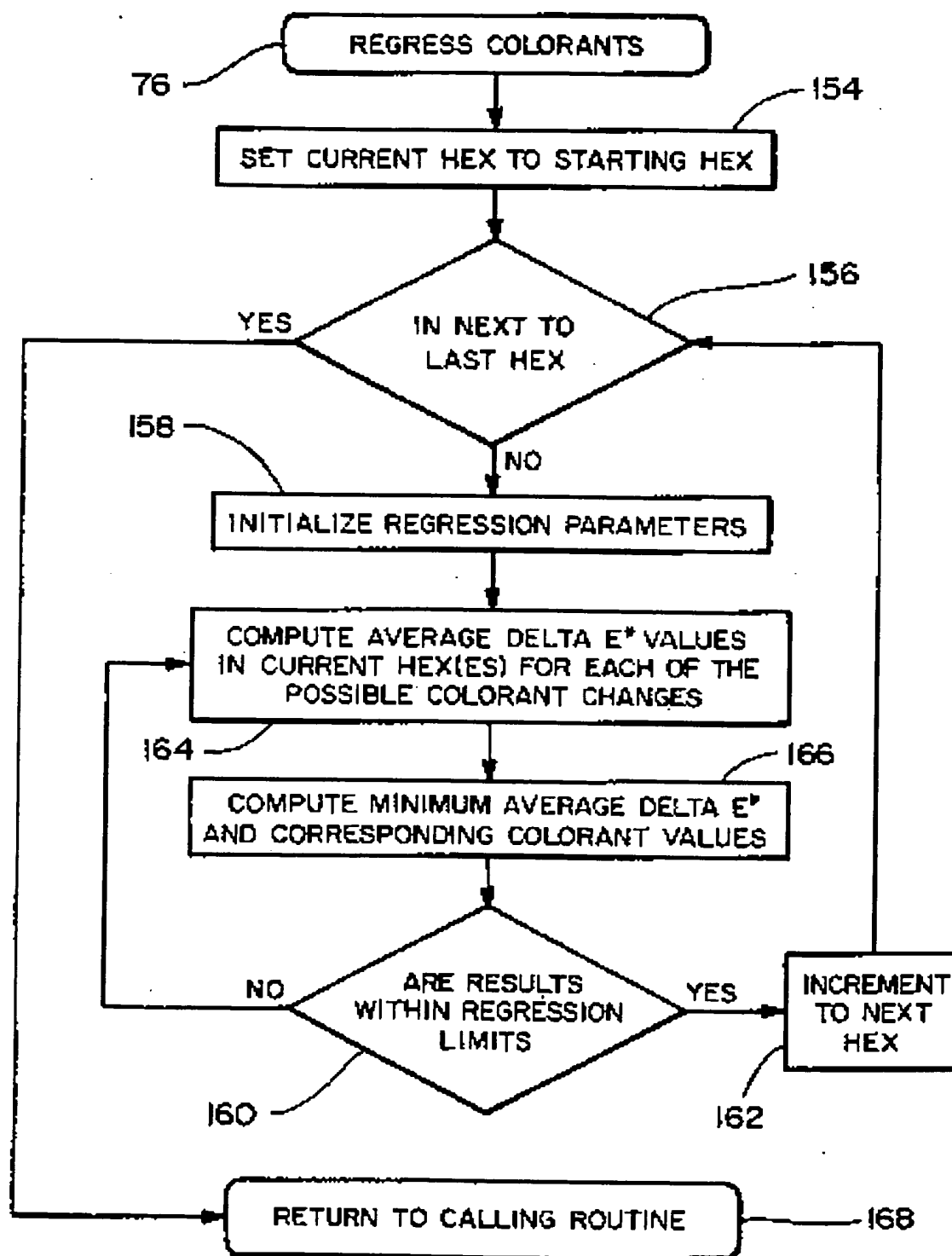


FIG. 11

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**FIG. 12**

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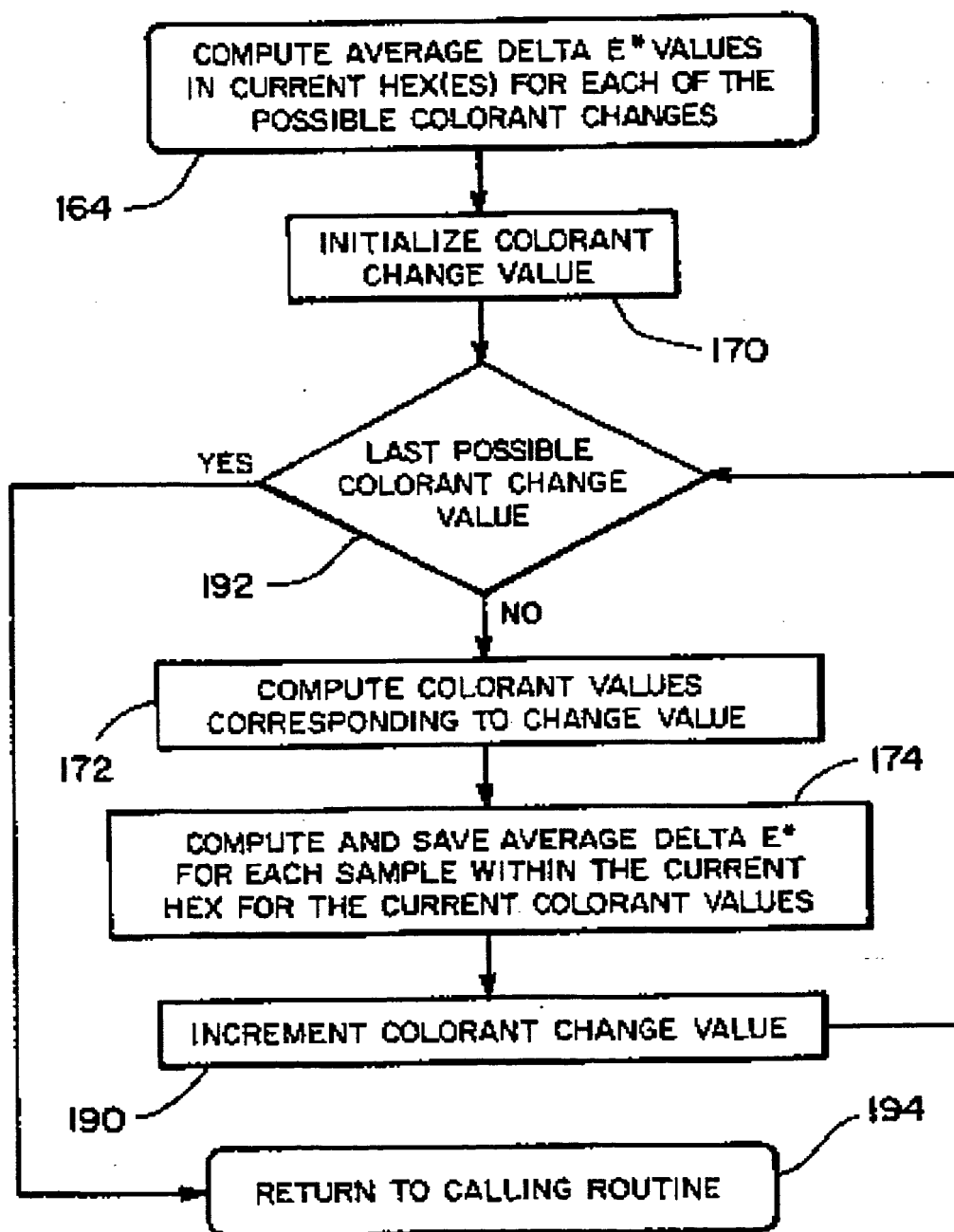


FIG. 13

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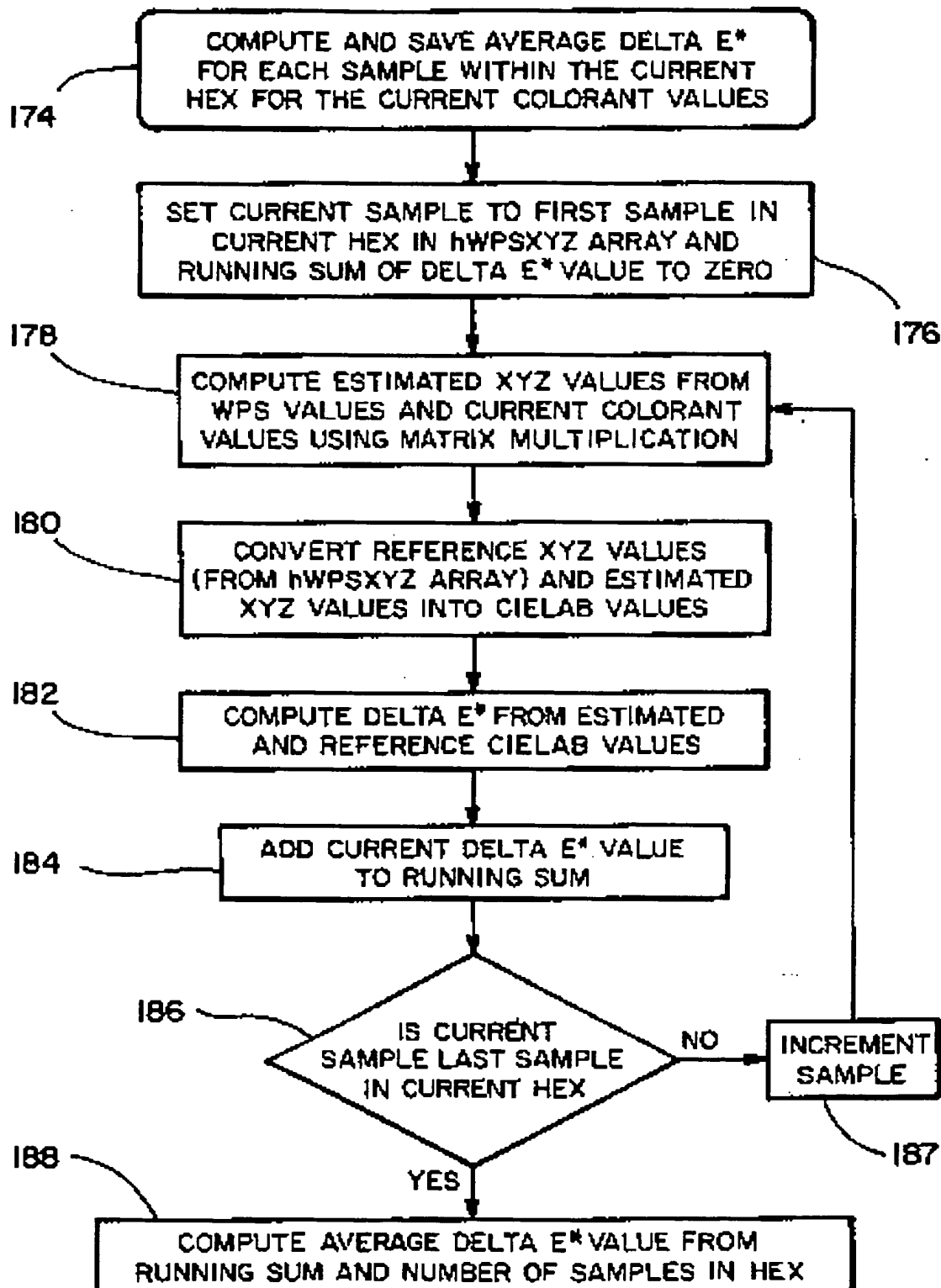
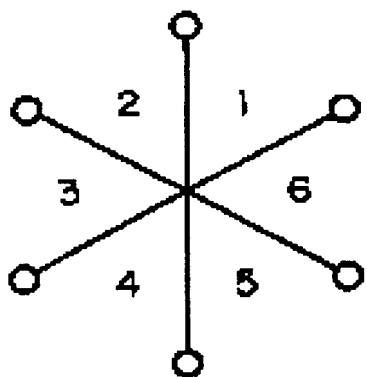
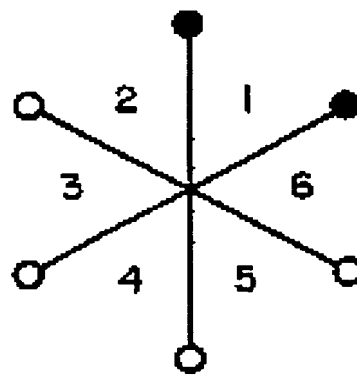
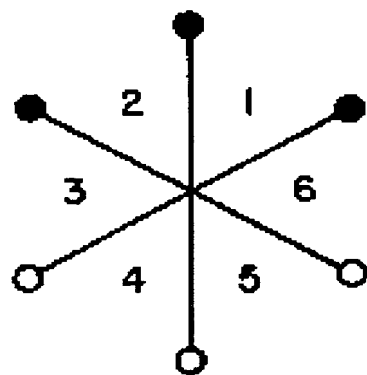
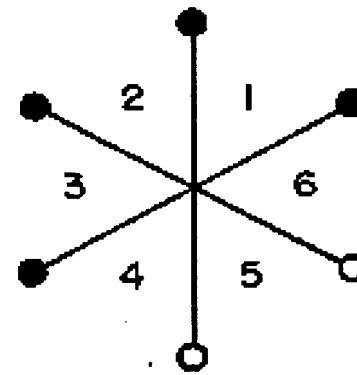
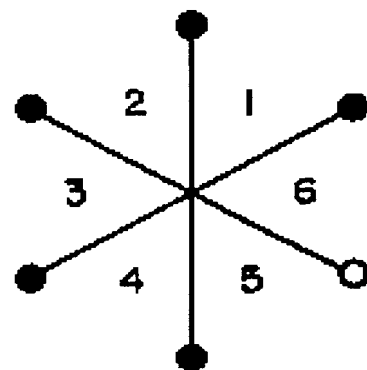
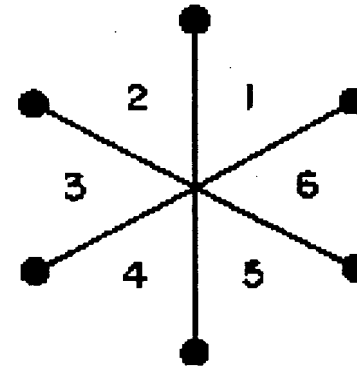


FIG 14

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**FIG\_15a****FIG\_15b****FIG\_15c****FIG\_15d****FIG\_15e****FIG\_15f**